

1 Utilising the Repertory Grid Technique in Visual 2 Prosthetic Design: Promoting a User-Centred 3 Approach

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8
9 **Abstract** This paper proposes a new User-Centred data-collection methodology based on the
10 Repertory Grid Technique (RGT) for the aesthetic design of below-knee prostheses. The innovation of
11 this methodology is to propose a measurable approach guiding the designer to detect latent emotional
12 needs of interviewed prosthetic users to be translated into measurable aesthetic issues to reproduce in
13 their customized devices. This work is situated within the Kansei Engineering framework and is part of a
14 more comprehensive study for the revision of aesthetic prosthetic design. The data of this paper are based
15 on face to face interviews and the results were translated into a set of design principles and elements
16 classifying the statements of the users. This methodology aims to stand as an initiative for a new design
17 system for the improvement of the emotional User Experience of prosthetic users – and to consequently
18 provide products to be positively accepted by the users for the improvement of their body image.

19
20 **Keywords:** Prosthetics, Methodology, Visual Design, User-Centred Design, Design Principles

21 1. Introduction

22 Below limb prostheses are artificial devices designed to replace a missing limb for prosthetic users and
23 are identified by our research as special and intimate products affecting the self-body image of the
24 wearers. Our belief is that, accounting the importance for a device to feel comfortable to wear and
25 functional to use, amputees also require visual appealing aesthetic in the devices to fulfil their emotional
26 needs. Visual prosthetic design, which can also be identified as prosthetic form, is indicating the
27 appearance of the products - or rather how the products look like.

28 Unlike the extended work to date on prosthetics which has largely focused on the technical
29 improvement of the devices (Hahl, Taya, & Saito, 2000; Klute, Kallfelz, & Czerniecki, 2001; Mak,
30 Zhang, & Boone, 2001), the field of research into aesthetic of prostheses is relatively new. Our search
31 found few academic studies discussing realistic-appearance aesthetic devices and that the literature
32 focuses mainly on upper limb designs (Biddiss, Beaton, & Chau, 2007; Davies, Douglas, & Small, 1977;
33 Ferrone, 2001). This contrasts with a considerable number of companies (e.g. “Procosil”, “Touch
34 Bionics”, “The Alternative Limb Project”, “Ottobock”) and associations (i.e. “Amputee Coalition”,
35 “Amputee prosthetics”, “Westcoast brace and limbs”) that deal with the production and/or advertisement
36 of high-level realistic-looking limbs. Similarly, we found little literature investigating the aesthetics of

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37 non-realistic devices (Capestany & Esparza, 2011; Hilhorst, 2004; Plettenburg, 2005), as well as few
 38 companies (e.g. “The alternative Limb project”, “Bespoke innovations”) and designers (i.e. Sophie de
 39 Oliveira Barata, Scott Summit). This suggests limited academic investigation of visual prosthetic design
 40 has taken place up to now, and that the research on non-realistic looking prostheses is particularly scarce.

41 As part of the lack of research in the field, we have been particularly concerned in the absence of an
 42 academic method for visual prosthetic design.

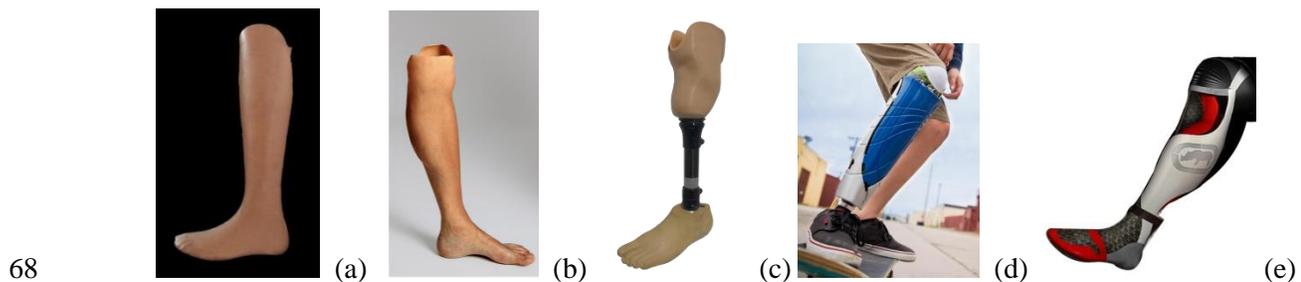
43 We believe that a robust methodology guiding the aesthetic design process would be extremely
 44 important for the manufacturing process for both public and private prosthetic centres. Our belief is that
 45 this procedure should guide the designer to provide the amputee with a customised option responding
 46 their personal needs.

47 In response to that, this paper proposes a new methodology for the aesthetic design of robotic below
 48 knee prosthetic devices and aims to provide a set of guidelines for the development of a user centred data
 49 collection approach for the improvement of the emotional user experience. In this work we propose a set
 50 of steps for the designer to understand the personal visual requirements of the user and focuses on the data
 51 collection process. The design of the methodology is based on semi-structured interviews; this chapter
 52 presents both the results of the data collection and a universal-applicable methodology approach.

53 1.1. Kansei for Visual Prosthetic Design

54 In considering the visual aspect of prostheses for below-knee devices, the models resembling the
 55 realistic appearance of a human leg are identified with the term ‘cosmetic’ (Fig. 1a and b), while
 56 ‘artificial’ prostheses identify devices with an appearance dissimilar to a human leg (**Error! Reference
 57 source not found.c, d and e**). Within artificial-looking models, we identify ‘robotic’ devices (**Error!
 58 Reference source not found.d**) as a distinctive design type from the uncovered design (**Error!
 59 Reference source not found.c**). Under our definition of ‘robotic’ we include devices making use of
 60 ‘fairings’ for the cover, or rather “intricately designed panels that fit over prosthetic legs - the fairings
 61 create a shell around the traditional prosthesis, giving the mechanical limb a more aesthetically elaborated
 62 solution” (**Error! Reference source not found.d**) and monolithic designs (**Error! Reference source not
 63 found.e**). Prosthetic models provided by the NHS prosthetic centres include only basilar design like
 64 devices of figure 1a and 1c.

65 As supported by the literature review (C. D. Murray, 2005; C. D. Murray, 2009; Nguyen, 2013) and
 66 our previous investigations (S. Sansoni, Wodehouse, & Buis, 2014), the standard prosthetic models
 67 currently offered within prosthetic centres might not meet the visual requirements of prosthetic users.



70 **Fig. 1. Cosmetic foam-covered (author photograph) (a), PVC highly realistic (©2012Rosemary
 71 Williams) (b), basilar uncovered (author photograph) (c), robotic cover design (UNIQ, 2015) (d) and
 monolithic model (Jordan Diatlo design) (e) prosthetic devices**

72 Our research conceives prostheses as emotional products. Defining prostheses in this matter is
 73 particularly appropriate considering that this kind of device is strictly related to the body image of a
 74 person with a physical impairment who may perceive the prostheses as a very intimate product.
 75 Accordingly to this vision, our research is inserted within Kansei Engineering. By considering the

76 statements of Nagasawa (2004) the Kansei process cannot be measured directly, and what can be
77 observed are the causes and consequences of the process. Between the gateways for detecting Kansei we
78 identified an interview technique where people are asked to express their Kansei in words upon seeing
79 products as method. The use of this gateway is supported by Jiao et al., who show that consumers can be
80 guided to express their affective needs, feelings, and emotional states successfully by using Kansei
81 adjectives (2006). Within the case of our specific investigation, the Kansei words have been measured by
82 applying a technique within the Personal Construct Psychology (PCP), the Repertory Grid Technique
83 (RGT).

84 1.2. Repertory Grid Technique

85 The PCP was originally developed by the American psychologist George A. Kelly to investigate
86 people's understanding of the world within the field of psychotherapy (Kelly, 1955). The original aim of
87 this interview-based technique was to help patients to understand how they see the reality, however this
88 approach has been largely used in other context outside medical scrutiny to understand people's
89 perception of images (Hankinson, 2004), where many market research groups investigating product
90 perception made use of it (Hankinson, 2005; Lemke, Clark, & Wilson, 2011; McEwan & Thomson,
91 1989). The RGT is the operationalization of the PCP (Coshall, 2000) and involves recording data
92 obtained during the interview in a grid-based quantifiable database.

93 The RGT consists in a semi-structured interview in which respondents are asked to choose and relate a
94 triad of elements by describing the way two of them are alike and thereby different from the last one
95 (Hassenzahl & Trautmann, 2001). The elements can either be chosen by the participants or by the
96 interviewer. The characteristic of similarities and differences described by the respondent are *elements*
97 and *constructs*, that represent the focal points of the technique (Coshall, 2000; Hankinson, 2004).

98 Elements are objects of people's thinking, and in the case of application for product design studies, the
99 elements are a set of products that the designer aims to investigate the perception. In the case of our
100 specific study, the elements are prosthetic devices.

101 The constructs are the personal interpretations of the interviewed of the elements. According to the
102 description of Kerkhof (2011), the constructs are "the discriminations that people make to describe the
103 elements in their personal, individual world". An essential characteristic of constructs is that they are
104 'bipolar' (e.g. cold-hot, good-bad)".

105 2. Method

106 The RGT has been used for our data collection by adaption its application to our experiment (see
107 'procedure'). The experiment aimed to discover the individual attraction of participants for their ideal
108 prosthetic product. A set of 9 visual prototypes has been shown to each participant to detect a list of
109 preferred aesthetic attributions to guide the final personalized design. The study consisted of open
110 interviews that took approximately 45-60 minutes to complete.

111 The data collection took place over three months. The interviews were made individually, either face
112 to face or through video-call. The researcher conducted the interviews by showing the 9 prosthetic models
113 on screen by displaying the images and 3D videos of the visual prostheses in order to provide to the
114 participants a clearer understanding possible of each design.

115 The video interviews were structured to be consistent with the face to face interviews. In order to gain
116 objectivity, the researcher applied some expedients. For instance, participants were asked to use a wide
117 monitor screen and test the audio was working properly and the good quality resolution of the shared
118 screen for the visualization of the prostheses by asking the interviewed to describe if he/she could see and
119 describe small details on the first and second model. The interviews were made in English, with exception
120 for three Italian participants who asked the interview to be made in their mother tongue to make the
121 communication easier.

122 2.1. Participants

123 The requirement for participating was to be a lower limb prosthetic user and to be over 18 years old.
124 There were nineteen participants in the study. The sample of 19 participants had a mean age of 50.2 years
125 [sd = 10.3, range 33 - 70] and consisted 84.2% [n = 16] males. The mean time since amputation was 11.5
126 years [sd = 13.3, range 1 – 53] and they came from 4 countries: 42.1% [n=8] Scottish; 31.6% [n=6]
127 English; 15.8% [n=3] Italian and 10.5% [n=2] American. Fifty-nine percentage [n = 11] were unilateral
128 below-knee (BK), 26.3% [n=5] unilateral above knee (AK); 10.5% [n=2] bi-lateral BK and 5.3% [n=1] bi-
129 lateral AK.

130 2.2. Pilot study

131 The pilot study consisted in two parts. The first part involved three volunteer participants with no
132 disabilities. The aims were to a) test the structure and flow of the first draft structure of the experiment b)
133 check the duration of the whole experiment and c) record the feedbacks of participants regarding the
134 understanding of the experiment directions. The researcher made use of cards showing a 2D
135 representation of prosthetic devices and did not make use of a voice recorder.

136 The second part involved four volunteer participants with no disabilities. The aims of this second
137 investigation were to a) test the final structure of the RGT interview b) check the time duration of the
138 experiment and c) test the correct visibility images and videos on screen. The researcher made use of a
139 voice recorder and tested the analysis of the data.

140 2.3. Procedure

141 Before starting the interview, the researcher recorded: gender, age, nationality and length of time after
142 amputation. The interview was recorded and consisted of two sections, where only the first section (the
143 RGT interview) has been considered for this work. A slightly amended application of the technique has
144 been applied for our experiment:

145 (1). The participant was shown a Participant Info Sheet and asked if they agree to the use of a voice
146 recorder. The aim of the investigation, the procedure and the need for the participant to provide an
147 objective evaluation of the devices were explained in this document.

148 (2). The participant was invited to select three prostheses from the set

149 (3). The three prostheses were discussed in the interview – the question asked by the researcher was
150 “what do two of these elements have in common, and how do they differ from the third?”

151 (4). The answers were further explored by asking the question “why?” for detecting more details

152 (5). Points 2, 3, and 4 were repeated three times. Before starting the first round, the researcher asked
153 the participant to include one of the two realistic-looking devices in at least one of the triads and to
154 comment on the level of human-likeness. The purpose of these questions was to investigate the level of
155 attraction for human likeness in prostheses.

156 (6). The researcher transcribed the descriptions into the repertory grid. The repertory grid is a
157 template sheet where the preferred option is placed on the left side (e.g. colorful pigmentation), and the
158 non-preferred issue on the right (e.g. dark pigmentation). In the middle there are five empty spaces for the
159 participant to use to indicate their preferences in the next step.

160 (7). The researcher asked the participant to use the repertory grid to rate their constructs pole between
161 1 and 5, by considering the constructs to be associated with the aesthetic of their ideal prosthetic device

162 The investigator made sure that the statements of the participants were documented robustly in the
163 grid by a) asking people to repeat and clarify the concepts whether the information was unclear, b)
164 show the participant the grid before their marking and asking them to confirm the statements were
165 reported appropriately.

166 The main differences between the original RGT and the adapted version for our investigation are:

- 167 ○ Where the original RGT usually displays to participants elements they are already familiar with,
168 our procedure proposes to users products they have never seen before

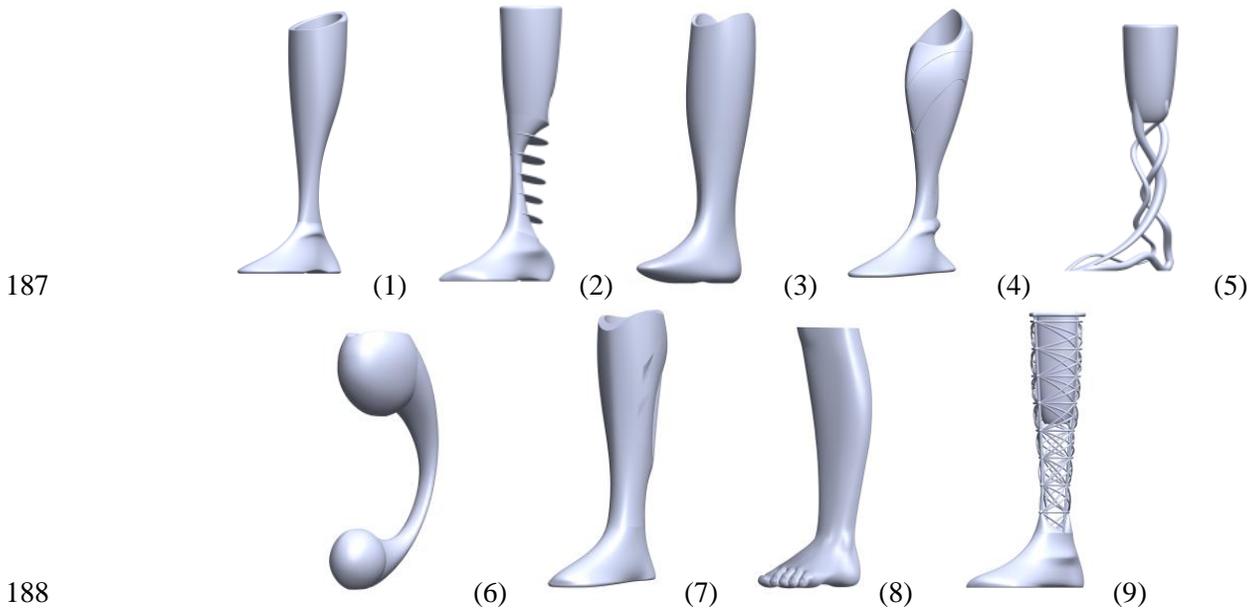
169 ○ The original RGT expects the participant to rate all the elements shown within the grid, where in
 170 our version we required the participant to rate in the repertory grid only their ideal prosthetic
 171 device and not all the elements (i.e. prosthetic devices) shown. This helped to keep the experiment
 172 more focused on the design aim and to keep the time of the experiment within the scheduled
 173 interview time

174 **Ethics**

175 The study was reviewed and approved by the University Ethics Committee of the University of
 176 Strathclyde.

177 **2.4. Elements for constructs**

178 To conduct the RGT experiment, 9 3D images of prosthetic devices (Fig. 2) and 1:1 poster format of
 179 A1 size were shown in order to achieve a standard realistic visualization of the prostheses. Eight
 180 prostheses were designed by the chief researcher and modelled by using SolidWorks 2013 x64 Edition,
 181 whereas prosthesis number 8 has been taken from an open-source database. The models 1, 2, 4, 5, 6, 7
 182 and 9 are a set of 7 prosthetic models representing robotic devices appearance. Those models aimed to
 183 test the attraction of people for robotic features and be data source for the design elements and principles.
 184 Prosthetic 3 is a non-realistic looking devices aiming to represent a NHS cosmetic model with a low level
 185 of realism, where prosthetic 8 represents a highly realistic device. Prostheses 3 and 8 were inserted in the
 186 set to test the level of attraction and/or preference of participants for realistic devices.



187

188

189 **Fig. 2. The set of visual below-knee prosthetic devices designed to test the RGT as method of data**
 190 **collection**

191 **3. Results**

192 The data analysis for the 19 participants provided us with a total of 135 constructs, giving a mean of 7
 193 constructs elicited by each participant. The constructs were couples of polar statements and aimed to
 194 describe preferences and dislikes. The participants were expressing their impressions of the visual of
 195 prosthetic devices using their own words and each statement was depending on the personal interpretation

196 of the participant for the features of the devices compared at each stage. Table 1 shows an example of
 197 how the grid with polar constructs (made of two opposite pole statements) completed by participant ‘J’.

198 **Table 1. Example of RGT grid**

Category	Preferred pole	1 to 5 preference placement					Opposite pole
1a	Non-Human likeness look	<u>1</u>	2	3	4	5	Human likeness look
1c	Colourful	<u>1</u>	2	3	4	5	Human skin colour
1C	Broken shiny metal	<u>1</u>	2	3	4	5	Human looking
3	Functional	1	<u>2</u>	3	4	5	Human looking
2	Artistic	<u>1</u>	2	3	4	5	Human looking
1b	Big Ankle	1	<u>2</u>	3	4	5	Thin ankle
1C	Continuous pattern	1	<u>2</u>	3	4	5	Web – separated pattern

199 According with the aim of our investigation, the constructs have been labelled under three categories
 200 1) Aesthetic 2) Emotional and 3) Pragmatic (Table 2). Category 1 includes the majority of the constructs
 201 and grouped all the statements related to the visual appearance of the devices. The second category was
 202 elicited by almost all the participants, to include the emotional impressions that the visual prosthetic
 203 design shown was giving to participants. Category 3 includes the aspects reconnected to the functionality
 204 and comfort impressions that the prosthetic models suggested. Only the first category is considered within
 205 the analysis of this paper.
 206

207 **Table 2. Categories of constructs – aesthetic only is considered for our discussion**

Category	Description	Sub-category	Frequency
1. Aesthetic	Visual aspects of prostheses	1A) Human or non-human likeness look	1A) Total users: 19/19 Total constructs: 23
		1B) Anatomical outline	1B) Total users: 13/19 Total constructs: 17
		Tibia	Tibia: 3
		Ankle	Ankle: 4
		Toes	Toes: 3
		Whole	Whole: 7
		1C) Design details	1C) Total users: 17/19 Total constructs: 56
2. Emotional	Non tangible observations / Feelings		Total users: 16/19 Total constructs: 25
3. Pragmatic	Issues reconnected to comfort or functionality		Total users: 11/19 Total constructs: 14

208 **Category 1: Aesthetic constructs**

209 The aesthetic constructs group all the issues raised by the participants involving the visual aspects of
 210 the prostheses and is the most important between the three categories, as it the only one that guides our
 211 understanding for classifying aesthetic qualities. This section counts a total of 96 constructs and is sub-
 212 divided into three groups according to the different theme: 1A, 1B and 1C.

- 213 ○ **1A - Human and non-human likeness:** refers to the constructs evaluating attraction for the
 214 realism of the prosthesis. For example we either had “human likeness look” as Preferred pole (P)
 215 and “non-human likeness look” as opposite pole (O), or the other way around. Category 1A is
 216 particularly relevant as the rating assigned to preference for human or non-human likeness look
 217 affect the evaluation of the other categories. Those Constructs raised by all the participants, for a
 218 total of 23 constructs all over them since some participants remarked the concept of human
 219 likeness attraction. By considering this category, we can observe data for the level of attraction for
 220 realistic or robotic devices.
 221 The majority 63.2% [n= 12] favour attraction to robotic devices, 21.1% are attracted to both robotic
 222 and realistic and only the 15.8% of the participants stated attraction for realistic devices (Table 3).

223 **Table 3. Levels of attraction for realistic or robotic looking devices**

	Frequency	Percent
Realistic	3	15.8
Robotic	12	63.2
Robotic and realistic	4	21.1
Total	19	100

- 224 ○ **1B - Anatomical Outline:** the anatomical outline indicates the external form of the prosthesis
 225 related to the human anatomical proportions for the below limb leg. It includes all the parts of the
 226 prosthesis (i.e. tibia, ankle, feet, and toes). An example could be found as “big ankle” (P) and “thin
 227 ankle” (O) for i.e. an amputee attracted towards a ‘bulky’ appearance for the ankle. These
 228 constructs interested 13 participants for a total of 17 constructs. By separating aesthetics
 229 characteristics referring to human outline between the two categories 1A and 1B, that in fact may
 230 be considered part of same category, we aimed to facilitate the discussion around the attraction of
 231 users for human-likeness in a more detailed manner.
 232 ○ **1C – Design Details:** refers to the details of the form of the prosthesis, and includes specific
 233 statements of the elements detected by the amputees for the devices to be compared. This point
 234 offers mainly (but not exclusively) a list of characteristics noticed within robotic looking models
 235 design characteristics. Within this group we can find statements like “colourful” (P) – “human
 236 skin colour” (O) or “continuity between leg and feet” (P) – “non-continuity between leg and feet”
 237 (O). This category encloses 56 constructs and refers to the more personal details detected by the
 238 participants when undertaking the RGT.
 239

240 **4. Discussion**

241 **4.1. Design Principles and Elements in Visual Prosthetic Design**

242 Constructs from category 1A lead us to assume that the majority of prosthetic users preferred robotic
 243 design over cosmetic design. This data confirmed the fact that people were not happy of receiving the
 244 standard cosmetic model, however they were not happy neither with a basilar non-realistic option like the
 245 uncovered device as usually provided by the public health system. The people interviewed expressed
 246 preference for receiving a more visually-elaborate product.

247 After classifying the other data obtained during the interviews, we aimed to use the results for creating
 248 a universal system of guidelines for the aesthetic of prosthetic devices. The constructs categorised within
 249 1B and 1C (anatomical outlines and design details) are identified as data source for defining the
 250 guidelines for design Principles and Elements in visual prosthetic design. Specifically, a classification for
 251 a set of design elements and principles has been outlined. In the following sections we describe the
 252 meaning of each component for the principles and elements.

253 1B collects constructs on the anatomical outline of the prostheses in relation to similarities and
 254 differences with a real human leg outline. When eliciting the constructs, 13 up to 19 participants (for a
 255 total of 17 constructs) made observations regarding the anatomical outline of the prostheses by referring
 256 to sections of the leg such as: a) Tibia, b) Ankle, c) Toes and d) whole below-knee section - examples of
 257 the constructs recorded are i.e. shape of the ankle, outline of the tibia, presence of toes, general outline of
 258 the full prosthesis etc.

259 The majority of the people interviewed stated preference for a device with a realistic or semi-realistic
 260 outline form (note: outline form has not to be confused with a full realistic human form – it refers only to
 261 the realistic shaping), however the preference for the different elements/patterns of the device was strictly
 262 subjective. For instance, participant J stated as preferred pole the presence of a big ankle, where at the
 263 opposite stated presence of a thin ankle, where participant C stated to as a preferred pole to have the
 264 presence of toes, and as opposite prostheses with no toes.

265 When starting the interview, we observed that participants were particularly concerned on the level of
 266 human anatomy to be reproduced in the prosthesis by stating attraction for devices respecting the outline
 267 of a human leg (or only a few sections) or being attracted by outlines for devices that do not reproduce the
 268 human anatomy at all.

269 Design details grouped in section 1C included the largest group of constructs: 56 pairs from almost all
 270 the participants (17 people). This category collects design details referring to observations reconnected to
 271 the individual visual form of the prosthesis. The information collected here are the constructs responding
 272 to the very personal requirements of the users for their prosthesis, it lists the specifications of the design a
 273 prosthetic users would like to find in his/her ideal model.

274 Because of the higher number of constructs recorded within this theme and because of the aim of the
 275 experiment, we could classify this group as the most important between the three categories.

276 According with the nature of their origin, the constructs under category 1C have been reviewed and
 277 initially subsequently sub-divided with labels, including: Unity, Symmetry, Colour, Pattern, Geometrical
 278 forms, Organic forms, Sculptural cavities and extrusions, Symbol and natural elements and Fashion.

279 The classification of the constructs followed the criteria of division of the chief investigators according
 280 to their design background experience. Subsequently, the labels attributed to the set of constructs has been
 281 reviewed and validated by the contribution of three designs experts in prosthetic design, emotional design
 282 and product design. After receiving an overview on the aim of the experiment, the experts considered the
 283 classifications and labels and offered their impressions and minor revision suggestions.

284 After this process of correction, a defined classification for a set of design elements and principles has
 285 been outlined. By selecting and elaborating the data obtained, the factors included in the table of design
 286 principles include:

- 287 ○ Proportion
- 288 ○ Unity

289 Where factors included in design elements include:

- 290 ○ Patterns
- 291 ○ Geometrical components
- 292 ○ Organic components

293 ***Design Principles***

294 We identify as design principles those guidelines whose ‘direct’ the design of a prosthetic product in
 295 order to give an order to the elements composing it. By referring to the concepts of ‘concinity’ (Coates,
 296 2003), where objective concinity “just feels right” to the observer of any culture and any period, and is
 297 also claimed to be expressed by providing to the product “stability” and “simplicity” - we attempt to
 298 identify the principle with this concept.

299 The idea for principles is that the design ordering the elements and anatomy of the prostheses should
 300 be universally perceived as “just right” and balanced.

301 From the descriptions of participants coming from the same RGT data collection, we noticed that the
302 strongest concern of people in relation to their emotional impact was focused on the degree of human
303 shaping of the leg (i.e. if the human outline was respected or not), or rather the category 1B. In other
304 words, the driving comments (less in quantity but higher in quality as people spent longer time describing
305 them) were not mainly focused on the kind of elements chosen for the design, but more in the principles
306 according to how they were applied to the prosthetic design. We identify the different levels of “human
307 likeness shaping” of the leg with the term ‘level of abstraction’. The abstraction in the design has been
308 identified as a priority for amputees and being inserted in the aesthetic design principles of prosthetic
309 design.

310 **Proportion**

311 The concept of proportion has been outlined by considering the constructs grouped under categories
312 1B (anatomical outline) and the constructs of 1C (Design details) of ‘Sculptural Cavities/Extrusions’. The
313 concept of proportion refers to the level of abstraction of the outline of the prosthesis in relation to a real
314 limb outline. Outline refers to the shaping of the model. The level of abstraction can be identified under
315 level 1 when the proportion of the sections of the leg (i.e. tibia, ankle and toes) respect closely the
316 external proportion of a real limb and little or no presence of cavities and extrusions is designed in the
317 device. Under level 2 we classify devices that reproduce somehow the general outline of a leg, but where
318 some sections clearly do not respect the human proportions. Presence of cavities and extrusions are more
319 remarked (i.e. extruded sections in the heel). Within level 3 we found the more “extreme” level of
320 abstraction, where the human outline is altered at the point of finding little or no resemblance with a
321 natural limb, the sections of the prostheses can show pronounced cavities/recessions and extrusions. We
322 believe that compared to Unity and Placement, proportion is the characteristic that covers more weight in
323 affecting the abstraction level perception of the observer.

324 **Unity**

325 Unity refers to the presence (or lack) of continuity between the designs sections and or the design
326 patterns, and has been detected as one of the constructs more repeated within the list of design details
327 (1C). Design sections include tibia, ankle and foot, where the patterns are the aesthetic elements applied
328 on the device. At level 1 we have a design perceived as more organic and connected between each part, at
329 level 2 a design with a semi organic appearance, where at level 3 we find a design perceived as non-
330 organic and disconnected. The level of abstraction showing a homogeneous design can be identified
331 under level 1 where design unity between the tibia, ankle and foot and/or continuity in the pattern of the
332 prosthesis (i.e. one pattern only) is respected. Under level 2 we have a medium perception of continuity,
333 with partial unity between the tibia, ankle and foot - or discontinuity between the patterns of the
334 prosthesis (i.e. more than one pattern used along the prosthesis). Within level 3 it can be found a design
335 with a remarked discontinuity between the parts and an optional non-unity within the patterns can be
336 found.

337 Since the three criterions points are subject to individual perceptions, prostheses 1, 2, 5, 9 can be
338 classified in the category that we found more appropriate, but might be perceived by other subject in the
339 adjacent one. Specifically, 2 and 9 are classified, based on our guidelines, under area 1; prosthesis 1 under
340 area 2, and prosthesis 5 under area 3. However, 2 and 9 could be classified by other users/designer under
341 area 2; prosthesis 1 could be (unlikely) be classified under area 1 and prosthesis 5 under area 2.

342

Table 4. Design principles for visual prosthetic design

		Level of abstraction 1	Level of abstraction 2	Level of abstraction 3
A. Proportion		Human anatomy outline respected	Deformation of human anatomy on some sections of the prosthesis	Human anatomy outline non respected
Anatomical outline (1B) + Sculptural cavities and extrusions (1C)	Number of constructs: 17 Including: Tibia Ankle Toes Whole	The outline of the leg follows almost perfectly the outline of a real leg or of a cosmetic device Anatomical proportion is met	The outline of the leg reminds the outline of a real leg, proportion of some sections are clearly altered Anatomical proportion is partially met	The outline of the prosthesis is fully altered Anatomical proportion is partially or not met at all
B. Unity		Continuity	Medium level of continuity	Contrast
(within design sections) (1C)	Number of constructs: 8	Involves design unity between the tibia, ankle and foot or continuity between the pattern of the prosthesis (i.e. one pattern only). Visual impression of an organic design	Partial design unity between the tibia, ankle and foot or discontinuity between the patterns of the prosthesis (i.e. more than one pattern used along the prosthesis). Semi-organic design	No unity between the tibia, ankle and foot, and/or discontinuity between the patterns of the prosthesis (i.e. more than one pattern used along the prosthesis). Idea of discontinuity in the design of the prosthesis.

343 **Design elements**

344 The design element/s in the prosthetic device responds to a very subjective taste from the observer. We
 345 connect the idea of elements to subjective concinnity (Coates, 2003). Subjective concinnity represents the
 346 novelty of a design: values, beliefs, individual taste and stands on the subjective taste of the observers.
 347 Because of the peculiarity of these constructs, and because of the high number of them, the classification
 348 into categories has not been straightforward to identify.

349 The source of the list of design elements comes from a selection of aspects detected in category 1C
 350 (Design Details). After the first labelling validated with the support of three experts, the researchers
 351 selected most of the items to be classified in three sub-categories including the ones presented in the
 352 chapter design details. The process of division implied a few steps:

- 353 ○ After listing in one whole table all the constructs of 1C, a first set of suitable labels has been
 354 identified. The this first set of labels included unity, symmetry, colors, patterns, geometrical
 355 forms, sculptural cavities and extrusions, symbols/natural elements and fashion
- 356 ○ Labelling of the constructs present in category 1C have been subsequently revised. The revision
 357 included a) moving the label of unity to the design principles and uniting cavities and extrusions
 358 within the concept of proportions for principles and b) eliminating labels with limited number of
 359 frequencies (i.e. symmetry =1)
- 360 ○ When the final set of constructs was identified, the elements colors, patterns symbols and fashion
 361 where sub-grouped within the label of “patterns”.

362 The results of a sub-classification of all the issues found within 1C are illustrated in Table 5.

363 **Table 5. Design elements for visual prosthetic design [detected from Design Details (1C)]**

Elements	Frequency	Specifications (f=frequency)
Patterns	18	Colors (f=9) Pattern (f=6) Symbols/natural elements (f=2) Fashion (f=1)
Geometrical components	13	Geometrical forms
Organic components	8	Organic forms

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365 **Patterns**

366 'Patterns' is defined as "any regularly repeated arrangement, especially a design made from repeated
 367 lines, shapes, or colours on a surface refers to the covering decorations that can be"¹. A similar
 368 understanding of the concept is made within our context, where the decorations over a prosthetic form are
 369 identified within this category. Within this category we also grouped items originally labelled under the
 370 categories Colours, Symbols and Fashion. Examples of patterns listed by the interviewed were "Scottish
 371 Flag decoration (P) – no decoration (O)" (i.e. pattern), "colourful (P) – human skin colour (O)" (i.e.
 372 colour), "presence of Celtic knots" (P) – "plain" (o) (i.e. symbols), and "hill foot" (P) – "flat foot" (O)
 373 (i.e. fashion).

374 The investigators decided to group these four categories within a singular category, as we believed that
 375 the all of them were united by the same design family. With a frequency of repetition of 18 constructs,
 376 pattern is the most relevant element when compared to Geometrical components and Organic
 377 components.

378 **Geometrical components**

379 Geometrical components are identified for all the elements of C1 described as "geometrical forms"-
 380 and have been identified within 13 elicitations. Examples of those constructs are "triangle shaping (P)" –
 381 "surface without slots (O)" or "Rod details (P) – Elements interfering with anatomical shape (O).

382 **Organic Components**

383 Organic components identifies both constructs that have been labelled with the direct constructs
 384 involving the word "organic" (i.e. "Organic Shape" (P) – "Perfectly straight" (O))" and the elements that
 385 have been labelled by the investigator under this category even if the word organic was not used (i.e.
 386 "linear/smoot" (P) and "extravagant/not human" (O)). Organic constructs registered were only 8.

387 **4.2. Visual Prosthetic Design Process**

388 The design approach that has been elaborated for visual prosthetic design is here proposed. The idea is
 389 that, in order to obtain a design which could respond the closest possible to the expectation of the wearer,
 390 a user centred design approach has to be applied. The main aim is to transliterate a set of visual
 391 expectations of the amputees for their prosthesis from a non-tangible idea to a quantifiable set of
 392 characteristics to be then reproduced in the form of their robotic looking prosthetic device. In order to
 393 apply this method, we identified the professional figure of the Visual Prosthetic Designer. The essential
 394 role is to follow a user centred prosthetic design process from the data collection to the design concept.

¹ <http://dictionary.cambridge.org/>

395 The specifications of the design system applied by the visual prosthetic designer have been detailed
 396 explored in our previous work (Stefania Sansoni, Wodehouse, McFadyen, & Buis, 2015).

397 The first step in the design process is the application of the RGT in a one-to-one interview between the
 398 designer and the user. The RGT prosthetic models are prostheses chosen by the designer to be displayed
 399 as 3D images/videos or real models. When completing the data collection and obtaining a grid of
 400 constructs referred to the ideal device of the interviewed, the second phase of the process can start. The
 401 designer should be able to label the results under the design principles and elements (Table 6 offers an
 402 overview of them) in order to have a quantifiable data set of factors to be used for the final design. An
 403 example is proposed to clarify the process.

404 **Table 6. Design Principles and Elements for Aesthetic of PD related to level of artificiality in the**
 405 **device**

Design principles	Design elements
Proportion (level of abstraction 1, 2 or 3)	Pattern (color, texture, symbols, fashion)
Unity (level of abstraction 1, 2 or 3)	Geometrical components
	Organic components

406
 407 When accounting the numerical data (between 1 and 5) recorded in the grid, the constructs can be
 408 transformed in factors translated in the grid evaluation. By including an example, we can list a set of
 409 constructs as example:

- 410 ○ (P) Realistic outline of the upper section – (O) unrealistic outline
- 411 ○ (P) Very thin ankle – (O) bulk ankle
- 412 ○ (P) Organic connected design – (O) two separated sections
- 413 ○ (P) Application of decorations all over the device – (O) no pattern
- 414 ○ (P) Bright red – (O) skin colour
- 415 ○ (P) Presence of knot decoration – (O) no pattern
- 416 ○ (P) Triangle-shaped components – (O) no components

417 After collecting the constructs, the visual prosthetic designer will transcribe the constructs within the
 418 RGT grid. A first stage of ‘data cleaning’ will be performed by i.e. eliminating constructs that have been
 419 repeated twice or constructs that are not relevant with prosthetic design. After the grid will be completed,
 420 the designer will provide to the user the grid by asking them to mark the weight of each construct for their
 421 ideal prostheses between 1 and 5, according to the weight that each statement represented (**Error!**
 422 **Reference source not found.**a) During this process the designer will have the chance to make the user
 423 reflect about the role of each feature applied to their own device, and gives them the possibility to
 424 quantify properly the weight of each future applied for the device.

425 After the collection all the constructs, elements and principles should be divided. When detecting the
 426 elements, the process would be to label the constructs obtained under the three categories Patterns (A),
 427 Geometrical (B) and Organic (C) components. The expectation would be to collect a higher number of
 428 specifications for Category A and a minor set of observations for either B or C. The requirements of users
 429 for the elements are very personal and the needs would be subjective from person to person.

430 The designer will then label the principles of proportion and unity according to the levels of
 431 abstraction, and then identify and classify the elements. Principles: A2, B1 to be considered for the
 432 framework process and elements: A (colours), A (symbols), B (triangles) for the specifications of the
 433 design. The specifications of the labelled constructs are shown in Table 7.

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Table 7. Example - Labelling of the specifications for Design P&E

Design principles	Design elements
Proportion: level of abstraction 2 Deformation of human anatomy on some sections of the prosthesis	Patterns: color, symbols Geometrical components: Yes
Unity: level of abstraction 1	Organic components: N/A

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Our experiment as outlined in the method of this article ends at this stage. We detected a procedure for design elements and principles and simply labelled all the data obtained. However, the complete design process applied by the visual prosthetic designer continues and will be outlined here as following.

After collecting the specifications for the design, the designer can start to outline a more detailed idea of the required design. The designer can follow the most suitable strategy according to the design specifications. Our methodology then advises the designer to propose to the user a second stage of design evaluation, in this case to be referred to the proposed model. The idea is to display to the user the prosthetic design proposal to be ranked under a second RGT evaluation grid for the re-elaboration of the constructs proposed in the first session. This second grid re-proposes the ranking of each constructs elicited with the numerical evaluation attributed – Fig. 3b offers an example.

The user would then be asked to evaluate if the issues listed in the first session have been designed in a way to correspond the requirements. If any factor would had been addressed in the undesired level, the user should rate the perception of the draft design in order to quantify under which extend a characteristics should be amended. This stage could be implemented by set of more open ended questions where the designer will detect more specifications on the details required by the user, and where a dialogue on i.e. material availability, length of production and cost can be take place. The aim is to provide the user a more realistic idea on the final output of the design process by accounting also other variables.

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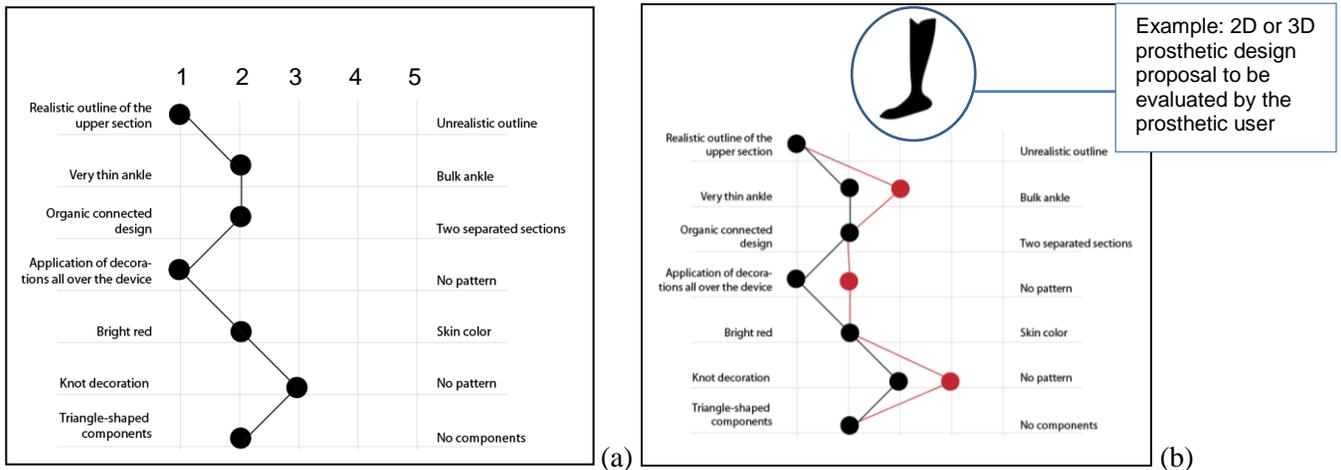


Fig. 3. Example of RGT application stating the level of preference for the constructs on a scale of 1 – 5 (a) and evaluation of the RGT in relation to the design proposed by the designer - where the constructs are not represented in the desired way, the user can amend the weight of the factor (correction represented in red) (b)

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Limitations of the investigation

460 One limitation of the investigation is that only the RGT interview and data collection has been tested
 461 (i.e. phase 1 and 2), where the specification of the practical design preparation have not. Testing the full
 462 design process would be a desirable future aim for the field of visual prosthetic design. Our research
 463 approach during the whole investigation did not include a full manufacturing design plan, therefore a
 464 specific approach including information for i.e. cost, material, manufacturing details is not aimed to be
 465 provided for this work but would be addressed in future works.

466 5. Conclusion

467 This work presents the RGT for the design of visual prosthetic devices. This chapter presents and
 468 innovative and very first approach for data collection with prosthetic users - where the procedure is tested
 469 through interviews with 19 amputees. The elements used for the data collection are a set of 9 prosthetic
 470 devices representing variegated visual features and different levels of realism. The results of the RGT
 471 were a large set of constructs (135) classified within three categories (aesthetic, emotional and
 472 pragmatic): the category 'aesthetic' included a set of sub-specification that were used for designing our
 473 final classification of design principles and elements. Principles are those guidelines whose 'direct' the
 474 design of a prosthetic product in order to give an order to the elements composing it and included
 475 *proportion* and *unity* to be potentially applied within three levels of abstraction. Elements are the parts of
 476 the design that provide it with 'novelty' and included *patterns*, *geometrical components* and *organic*
 477 *components*. Principles and elements are identified in our study as a key factor for transforming the
 478 emotional needs of prosthetic users from non-tangible qualities to measurable aesthetic features. These
 479 design guidelines should support the visual prosthetic designer both to address the data collection with the
 480 prosthetic users by extracting and quantifying aesthetic needs and to address the design of robotic models.

481 Our hope is that these initial findings have established a more coherent overview of the challenges of
 482 visual prosthetic design, and that the proposed methodology provides a basis for other researches and
 483 practitioners to define a more focused procedure for this aspect of prosthetic design.

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