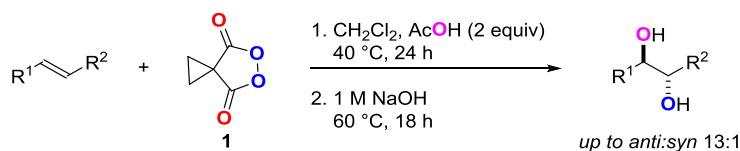


Alkene *anti*-Dihydroxylation with Malonoyl Peroxides

Carla Alamillo-Ferrer,[†] Stuart C. Davidson,[†] Michael J. Rawling,[†] Natalie H. Theodoulou,^{†‡} Matthew Campbell,[‡] Philip G. Humphreys,[‡] Alan R. Kennedy[†] and Nicholas C. O. Tomkinson^{†,*}

[†]WestCHEM, Department of Pure and Applied Chemistry, Thomas Graham Building, University of Strathclyde, 295 Cathedral Street, Glasgow, G1 1XL, U.K.

[‡]GlaxoSmithKline Medicines Research Centre, Gunnels Wood Road, Stevenage, SG1 2NY, U.K.



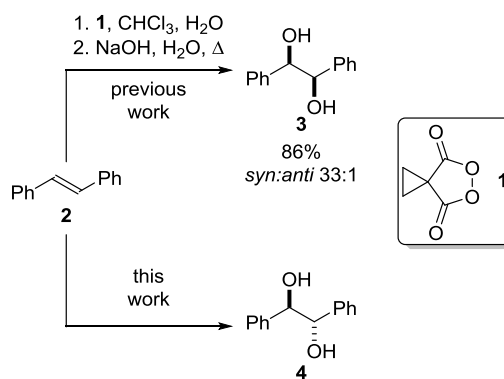
ABSTRACT: Malonoyl peroxide **1**, prepared in a single step from the commercially available diacid, is an effective reagent for the *anti*-dihydroxylation of alkenes. Reaction of **1** with an alkene in the presence of acetic acid at 40 °C followed by alkaline hydrolysis leads to the corresponding diol (35–92%) with up to 13:1 *anti*-selectivity. A mechanism consistent with experimental findings is proposed which accounts for the selectivity observed.

The prevalence of 1,2-diols in natural products and biologically active molecules together with the synthetic versatility of this functionality has provided the motivation to discover new methods for their preparation. Within this toolkit, of particular note are approaches for the dihydroxylation of alkenes which have delivered innovation and advancement in methodology development.¹ Whilst a number of procedures have been developed for the *syn*-dihydroxylation of alkenes using both metal-catalyzed² and metal-free procedures,³ introduction of the *anti*-1,2-diol has received significantly less attention.⁴

The majority of methods to access *anti*-1,2-diols involve the ring opening of epoxides.⁵ The required epoxides can be accessed in an asymmetric manner *via* processes including the Sharpless,⁶ Jacobsen⁷ and Shi⁸ procedures. Regio- and stereoselective reaction of the epoxides with oxygen nucleophiles then leads to the *anti*-diol.⁹ More recently, List has reported an organocatalytic asymmetric hydrolysis of meso-epoxides, providing a useful alternative to these well-established methods.^{4b} Alexanian *et al.* have developed both intra-¹⁰ and intermolecular¹¹ methods for the stereoselective *anti*-dioxygenation of alkenes using hydroxamic acids and oxygen as the sources of the new C—O bonds providing the products in good yield and moderate selectivities. A more established direct method to access *anti*-1,2-diols from alkenes is the Prévost reaction¹² which proceeds through hypervalent iodine intermediates.¹³

It has been established that malonoyl peroxides can be used for the *syn*-dihydroxylation of alkenes.¹⁴ For example, treatment of stilbene **2** with 1.2 equivalents of peroxide **1** in the presence of water followed by basic hydrolysis leads to the *syn*-diol **3** (86%; *syn*:*anti* 33:1) (Scheme 1). Within this manuscript we show that malonoyl peroxides can also be used in a complementary procedure to secure the *anti*-1,2-diol.¹⁵

Scheme 1. Malonoyl peroxides in the dioxygenation of alkenes.

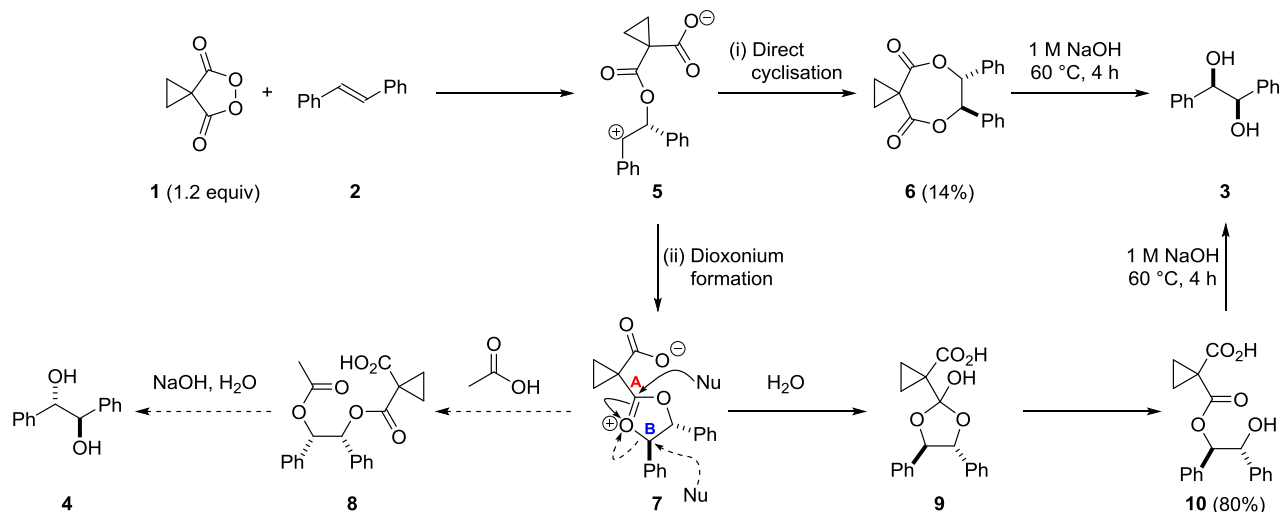


The mechanistic pathway determined for the *syn*-dihydroxylation of alkenes with malonoyl peroxides is outlined in Scheme 2.¹⁶ Reaction of alkene **2** with peroxide **1** leads to zwitterionic species **5** which can cyclize to give either the 7-membered ring **6** as a single stereoisomer (minor) or the dioxonium species **7** (major). Hydrolysis of **7** through addition of water at carbon **A** leads to the ester **10**. Basic hydrolysis of a crude mixture of **6** and **10** provides the *syn*-diol **3** in excellent yield and outstanding selectivity (86%; *syn*:*anti* 33:1).

Reactive species related to the dioxonium **7** have been described as intermediates within the Prévost reaction.¹⁷ Addition of a carboxylic acid to this class of compound leads to the corresponding *anti*-dioxygenated species. We wished to discover if a similar reaction pathway could be developed whereby addition of acetic acid to **7** at carbon **B** would lead to diester **8**, hydrolysis of which would provide the *anti*-diol product **4**. Herein we describe the development of this procedure providing a simple and effective perox-

ide mediated metal-free method for the *anti*-dihydroxylation of alkenes.

Scheme 2. Mechanistic course of dioxygenation with malonoyl peroxide 1.

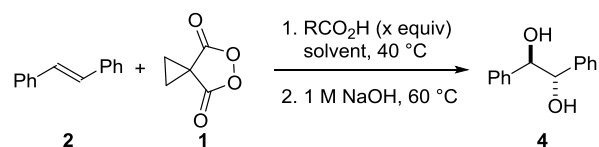


Our investigations began by replacement of the water used in the published *syn*-dihydroxylation procedure with acetic acid (Table 1). Reaction of stilbene **2** with 1.2 equivalents of peroxide **1** in the presence of one equivalent of acetic acid (40 °C, 24 h) followed by basic hydrolysis gave the diol **4** (entry 1; 78%, 1:2 *anti:syn*). Although this ratio was low in favor of the *syn*-diastereoisomer it was significantly different to the ratio observed in the presence of water (1:33, *anti:syn*) and suggested our mechanistic hypothesis was correct such that the stereochemical outcome of the reaction could be reversed by changing additive. Performing the reaction in neat acetic acid rendered the reaction *anti*-selective (entry 2; 66%, 2:1 *anti:syn*) and drying the acetic acid improved this ratio further (entry 3; 43%, 4:1 *anti:syn*). A brief examination of reaction medium (entries 4–6) showed less polar solvents improved the *anti:syn* ratio further with dichloromethane emerging as the best option (entry 4; 78%, 6:1 *anti:syn*). Altering the equivalents of acid and peroxide (entries 7–13) led to an optimized set of reaction conditions which provided the product in excellent yield and good selectivity (entry 11; 92%, 7:1 *anti:syn*). Conducting the reaction in the presence of acetic anhydride to sequester any water present in the reaction mixture led to no significant change in the stereochemical outcome (entry 10; 77%, 6:1 *anti:syn*). It also proved possible to conduct the reaction in the presence of alternative acids such as benzoic acid (entry 14; 75%, 6:1 *anti:syn*) and 4-methoxybenzoic acid (entry 15; 68%, 6:1 *anti:syn*) neither of which had a notable influence on the reaction outcome.

Having developed effective reaction conditions for the *anti*-dihydroxylation procedure we turned our attention to exploring the substrate scope (Table 2). The reaction proceeded well with alternative stilbene derivatives (entries 2, 3 and 6), delivering the products in high yield and up to 6:1 *anti:syn* selectivity. Use of *cis*-stilbene as a substrate gave the product in reasonable yield but with low levels of selectivity (entry 4; 79% yield, 4:3 *anti:syn*) suggesting that for this product a more effective strategy would be to perform a *syn*-dihydroxylation on the *trans*-alkene substrate.^{14a} The reaction was also effective for a number of styrene derivatives (entries 5 and 7–15). β -Methylstyrene provided access to the *anti*-product **15** in

excellent yield and acceptable selectivity (entry 7; 89%, *anti:syn* 4:1). Substituents on the aromatic ring were tolerated (entries 8–11), however, electron withdrawing substituents significantly slowed down the reaction. For example, a styrene derivative with a nitro group on the aromatic ring reached 45% completion (35% yield) after 24 h, the mass balance of the reaction being accounted for by unreacted starting material (entry 10). Increasing the steric demands of both the aromatic (entry 11; 90%, *anti:syn* 5:1) and aliphatic (entry 12; 77%, *anti:syn* 4:1) alkene substituents had little effect on the stereochemical outcome of the transformation.

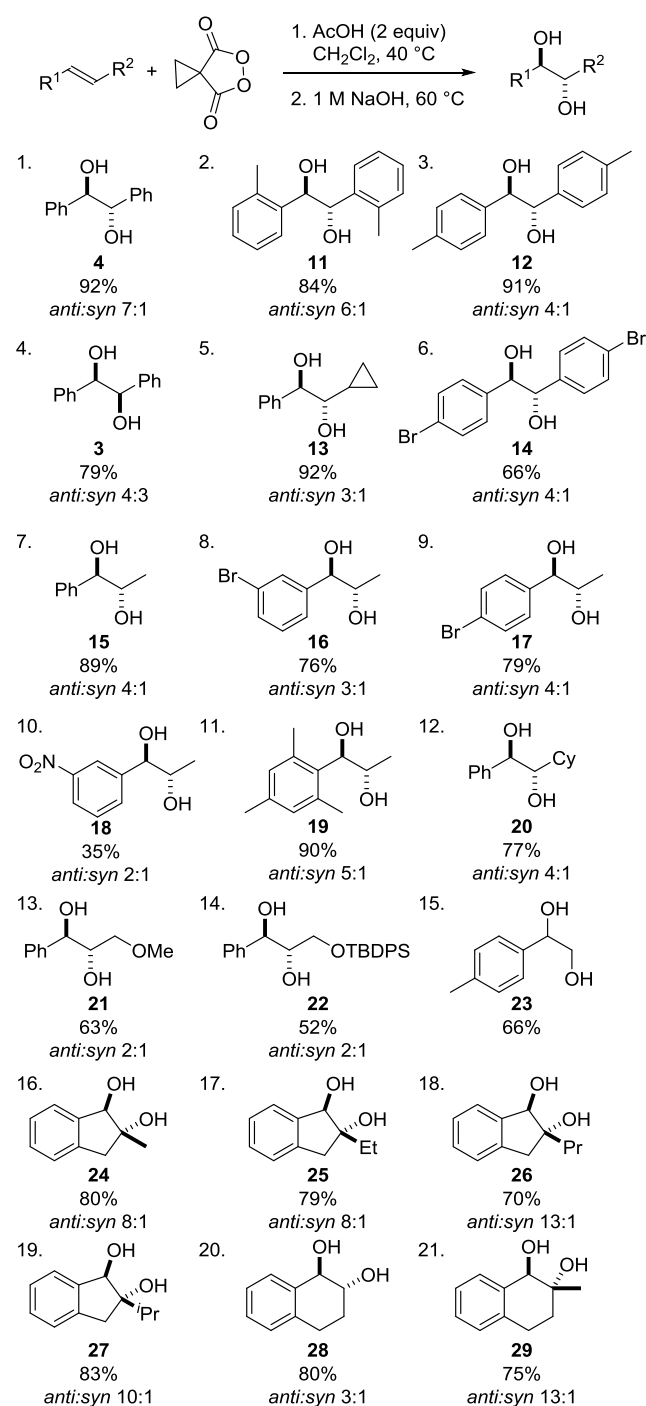
Table 1. Optimization of *anti*-dihydroxylation.^a



entry	solvent	RCO ₂ H (equiv)	R	1 (equiv)	yield (%) ^b	<i>anti:syn</i> ^c
1 ^d	CHCl ₃	1	Me	1.2	78	1:2
2 ^d	AcOH	35	Me	1.2	66	2:1
3	AcOH	35	Me	1.2	43	4:1
4	CH ₂ Cl ₂	1	Me	1.2	78	6:1
5	PhMe	1	Me	1.2	72	4:1
6	THF	1	Me	1.2	43	1:1
7	CH ₂ Cl ₂	2	Me	1.2	72	7:1
8	CH ₂ Cl ₂	3	Me	1.2	77	5:1
9	CH ₂ Cl ₂	5	Me	1.2	75	5:1
10 ^e	CH ₂ Cl ₂	2	Me	1.2	77	6:1
11	CH ₂ Cl ₂	2	Me	1.5	92	7:1
12	CH ₂ Cl ₂	3	Me	1.5	95	6:1
13	CH ₂ Cl ₂	3	Me	2.0	91	6:1
14	CH ₂ Cl ₂	2	Ph	1.5	75	6:1
15	CH ₂ Cl ₂	2	4-MeOC ₆ H ₄	1.5	68	6:1

^aAll reactions performed in duplicate with stilbene (1 mmol) at 0.5 M concentration; ^bIsolated yield after column chromatography; ^cDetermined by ¹H NMR spectroscopy on crude reaction mixture; ^dBench acetic acid was used for entries 1 and 2. In entries 3–15 the acid was dried prior to use, see Supporting Information for full details; ^e0.7 equiv Ac₂O added.

Table 2. Substrate scope.^a

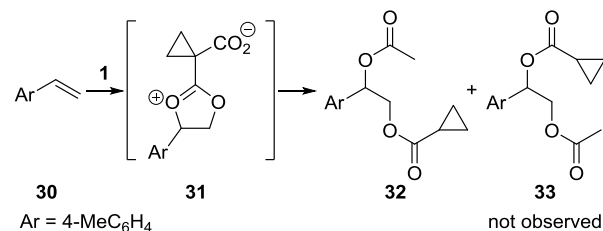


^aYields quoted are isolated yields. All reactions run in duplicate. Stereoselectivities were determined by ¹H NMR spectroscopy of crude reaction mixture.

From a mechanistic perspective, the addition of acetic acid to the dioxonium ion derived from a non-symmetrical alkene can occur at two possible carbon centers. In the reaction of 4-methyl styrene **30** with peroxide **1** in the presence of acetic acid the potential products are the isomeric esters **32** and **33** (Scheme 3). Interestingly, the addition of acetic acid occurs exclusively at the benzylic center (*c.f.* **B** Scheme 2) resulting in the *bis*-ester **32**. Independent synthesis of **33** (see Supporting Information) and careful examination of the

crude reaction mixture showed that none of this *bis*-ester was formed to the detection limits of ¹H NMR spectroscopy. This shows that acetic acid addition is directed by electronic factors, consistent with an ionic mechanism under the acidic reaction conditions employed. This finding was supported by reaction of 2-substituted-1*H*-indenes with malonoyl peroxide **1**, which resulted in *anti*-diols with excellent selectivities (Table 2, entries 16–19; *anti:syn* 8:1–13:1). These reactions proceeded with complete control over the regiochemistry of acetic acid addition as shown by the relative stereochemistry between the benzylic oxygen and the 2-substituent of the indene. This was also the case in the reactions of dihydronaphthalene derivatives (Table 2, entries 20 and 21).

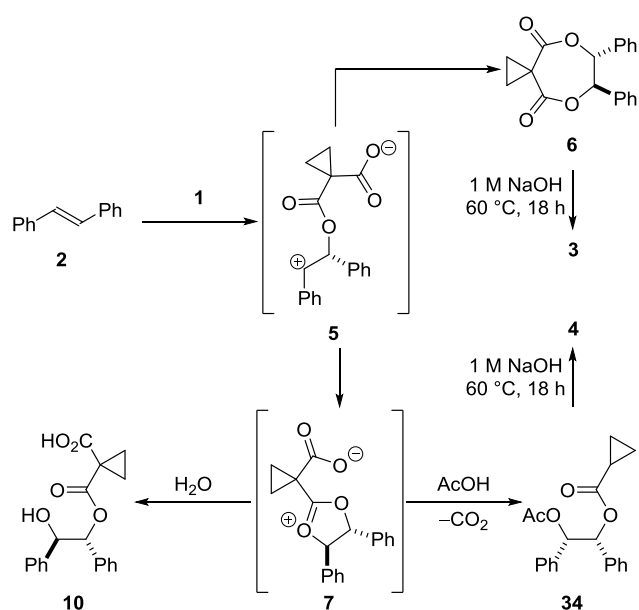
Scheme 3. Selectivity of acetic acid addition.



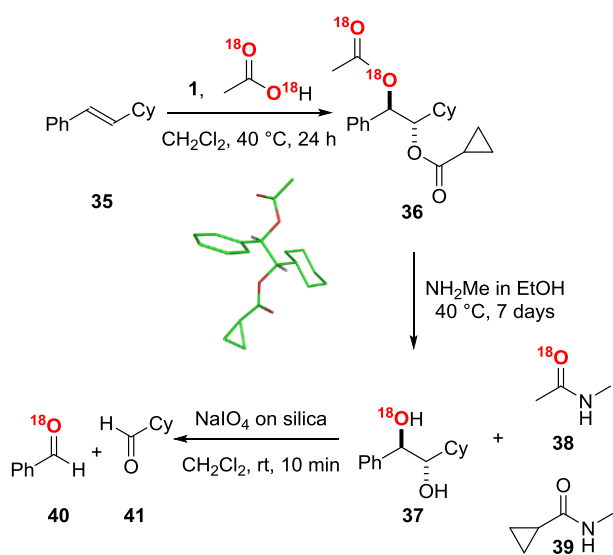
Examination of the crude mixture by ¹H NMR spectroscopy from the reaction of stilbene **2** and peroxide **1** prior to hydrolysis (under the optimized reaction conditions) showed none of the acid **10** was present, indicating that fortuitous water was not responsible for erosion in stereoselectivity.¹⁶ This reinforced our conclusions from the reaction conducted in the presence of acetic anhydride (Table 1, entry 10). Surprisingly, none of the expected diester **8** was observed, decarboxylation having occurred during the course of the transformation to deliver **34** as the major product (Scheme 4). Considering the proposed mechanistic course of the reaction we reasoned that erosion of selectivity therefore arose through formation of the 7-membered ring intermediate **6** which would lead to the *syn*-diol **3** upon hydrolysis. This was confirmed by isolation of **34** (*anti:syn* 31:1) and subjecting it to basic hydrolysis to give the *anti*-diol **4** (69% from alkene; *anti:syn* 31:1). We therefore concluded that in the case of *trans*-stilbene, compromise in selectivity occurs primarily through formation of **6** rather than through σ -bond rotation in the intermediate **5**.

Further confirmation of regioselectivity in the addition of acetic acid came from the use of isotopically labeled acetic acid (Scheme 5). Reaction of alkene **35** with malonoyl peroxide **1** in the presence of labeled acetic acid gave the adduct **36** with two labels incorporated into the structure of the *bis*-ester. The relative stereochemistry of **36** was confirmed by single crystal X-ray analysis of the ¹⁶O variant. Treatment of **36** with methylamine led to the *anti*-diol **37** where the label was incorporated exclusively into the benzylic center together with the amides **38** and **39**. The location of the label in **37** was reinforced by oxidative cleavage of the diol under anhydrous reaction conditions¹⁸ to give labeled benzaldehyde **40** and unlabeled cyclohexanecarboxaldehyde **41**.

Scheme 4. Rationalizing selectivity levels observed.



Scheme 5. Isotopic labeling experiments and X-ray structure of unlabeled **36**.



In summary, we have described a simple and effective method to alter the stereochemical course of the malonoyl peroxide mediated metal-free alkene dihydroxylation through judicious choice of additive. Reaction of an alkene and peroxide **1** in the presence of water leads to the *syn*-1,2-diol, whereas use of dry acetic acid delivers the *anti*-1,2-diol. This powerful discovery arose through an intimate understanding of the mechanistic course of the reaction to effectively intercept an intermediate to deliver a complementary diastereoisomeric product using the same reagent. Ring opening of the dioxonium intermediate proceeds with good regio- and stereoselectivity and bodes well for the development of additional bond forming reactions. Development of methods involving alternative nucleophiles is ongoing and will be reported in due course.

ASSOCIATED CONTENT

Supporting Information

Analytical data, experimental procedures and NMR spectra for all compounds reported. This material is available free of charge via the Internet at <http://pubs.acs.org>.

AUTHOR INFORMATION

Corresponding Author

*Nicholas.Tomkinson@strath.ac.uk

ACKNOWLEDGMENT

The authors thank the EPSRC, GlaxoSmithKline and University of Strathclyde for financial support and the EPSRC Mass Spectrometry Service, Swansea for high-resolution spectra.

REFERENCES

- (1) Kolb, H. C.; VanNieuwenhze, M. S.; Sharpless, K. B. *Chem. Rev.* **1994**, *94*, 2483.
- (2) (a) Bataille, C. J. R.; Donohoe, T. J. *Chem. Soc. Rev.* **2011**, *40*, 114. (b) Asghar, S. F.; Lewis, S. E. *Annu. Rep. Prog. Chem., Sect. B: Org. Chem.* **2011**, *107*, 34. (c) Christie, S. D. R.; Warrington, A. D. *Synthesis* **2008**, 1325.
- (3) Rawling M. J.; Tomkinson, N. C. O. *Org. Biomol. Chem.* **2013**, *11*, 1434.
- (4) For selected recent examples of novel methods for formation of the 1,2-diol functionality see: (a) Dorman, P. K.; Wickens, Z. K.; Grubbs, R. H. *Angew. Chem. Int. Ed.* **2015**, *54*, 7134. (b) Monaco, M. R.; Prévost, S.; List, B. *Angew. Chem. Int. Ed.* **2014**, *53*, 8142. (c) Aciro, C.; Davies, S. G.; Kurosawa, W.; Roberts, P. M.; Russell, A. J.; Thomson, J. E. *Org. Lett.* **2009**, *11*, 1333.
- (5) Usui, Y.; Sato, K.; Tanaka, M. *Angew. Chem. Int. Ed.* **2003**, *42*, 5623.
- (6) Katsuki, T.; Sharpless K. B. *J. Am. Chem. Soc.* **1980**, *102*, 5974.
- (7) Zhang, W.; Loebach, J. L.; Wilson, S. R.; Jacobsen E. N. *J. Am. Chem. Soc.* **1990**, *112*, 2801.
- (8) Tu, Y.; Wang, Z.-X.; Shi, Y. J. *Am. Chem. Soc.* **1996**, *118*, 9806.
- (9) (a) Iida, T.; Yamamoto, N.; Matsunaga, S.; Woo, H.-G.; Shibasaki, M. *Angew. Chem. Int. Ed.* **1998**, *37*, 2223. (b) Vogl, E. M.; Matsunaga, S.; Kanai, M.; Iida, T.; Shibasaki, M. *Tetrahedron Lett.* **1998**, *39*, 7917 (c) Matsunaga, S.; Das, J.; Roels, J.; Vogl, E. M.; Yamamoto, N.; Iida, T.; Yamaguchi, K.; Shibasaki M. *J. Am. Chem. Soc.* **2000**, *122*, 2252.
- (10) Schmidt, V. A.; Alexanian, E. J. *Angew. Chem. Int. Ed.* **2010**, *49*, 4491.
- (11) Giglio, B. C.; Schmidt, V. A.; Alexanian, E. J. *J. Am. Chem. Soc.* **2011**, *133*, 13320.
- (12) Prévost, C. *Compt. Rend.* **1933**, *196*, 1129.
- (13) For recent reports which develop the Prévost reaction see: (a) Emmanuvel, L.; Shaikh, T. M. A.; Sudalai, A. *Org. Lett.* **2005**, *7*, 2250. (b) Zhong, W.; Yang, J.; Meng, X.; Li, Z. *J. Org. Chem.* **2011**, *76*, 9997. (c) Fujita, M.; Wakita, M.; Sugimura, T.; *Chem. Commun.* **2011**, *47*, 3983. (d) Zhong, W.; Liu, S.; Yang, J.; Meng, X.; Li, Z. *Org. Lett.* **2012**, *14*, 3336.
- (14) (a) Griffith, J. C.; Jones, K. M.; Picon, S.; Rawling, M. J.; Kariuki, B. M.; Campbell, M.; Tomkinson, N. C. O. *J. Am. Chem. Soc.* **2010**, *132*, 14409. (b) Picon, S.; Rawling, M.; Campbell, M.; Tomkinson, N. C. O. *Org. Lett.* **2012**, *14*, 6250. (d) Jones, K. M.; Tomkinson, N. C. O. *J. Org. Chem.* **2012**, *77*, 921.
- (15) For use of peroxide **1** in the oxidation of aromatic rings see: Dragan, A.; Kubczyk, T. M.; Rowley, J. H.; Sproules, S.; Tomkinson, N. C. O. *Org. Lett.* **2015**, *17*, 2618.
- (16) Rawling, M. J.; Rowley, J. H.; Campbell, M.; Kennedy, A. R.; Parkin, J. A.; Tomkinson, N. C. O. *Chem. Sci.* **2014**, *5*, 1777.
- (17) Woodward, R. B.; Brucher, F. V. *J. Am. Chem. Soc.* **1958**, *80*, 209.
- (18) Zhong, Y.-L.; Shing, T. K. M. *J. Org. Chem.* **1997**, *62*, 2622.

