# Advanced Logistics Planning for Offshore Wind Farm Operation and Maintenance Activities

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#### Abstract

Offshore wind turbine technology is moving forward as a cleaner alternative to the fossil fuelled power production. However, there are a number of challenges in offshore; wind turbines are subject to different loads that are not often experienced onshore and more importantly challenging wind and wave conditions limit the operability of the vessels needed to access offshore wind farms. As the power generation capacity improves constantly, advanced planning of Operation and Maintenance (O&M) activities, which supports the developers in achieving reduced downtime, optimised availability and maximised revenue, has gained vital importance. In this context, the focus of this research is the investigation of the most cost-effective approach to allocate O&M resources which may include helicopter, crew transfer vessels, offshore access vessels, and jack-up vessels. This target is achieved through the implementation of a time domain Monte-Carlo simulation approach which includes analysis of environmental conditions (wind speed, wave height, and wave period), operational analysis of transportation systems, investigation of failures (type and frequency), and simulation of repairs. The developed methodology highlights how the O&M fleets can be operated in a cost-effective manner in order to support associated day-to-day O&M activities and sustain continuous power production.

Keywords: Offshore Wind, Operation and Maintenance, Accessibility, Charter Rate, Simulation, Logistics Planning

## <sup>1</sup> **1** Introduction

2 Wind power industry has continued to grow rapidly in recent years with major developments in offshore wind 3 farms. The availability of large areas in order to locate major projects, the lack of existing limitations associated 4 with visual impact and noise, higher wind speeds, and the lower turbulence levels in the offshore environment 5 have encouraged operators to invest in offshore wind farms. Despite all these advantages, power production 6 from offshore wind is still significantly more expensive than power generation from onshore wind farms. This 7 is due to more complicated foundations, longer electrical networks, installation and maintenance activities that 8 are dependent on vessels, and harsher climate conditions that limit the operability of vessels and subsequently 9 the accessibility of offshore wind farms. Considering the UK with the greatest operating capacity in its waters, 10 offshore wind Levelised Cost of Energy (LCOE) reached £140/MWh in 2011 (The Crown Estate, 2012); whilst 11 onshore wind LCOE is £74/MWh (WindPower Offshore, 2012).

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1 Operation and Maintenance (O&M) is a significant contributor to the LCOE. The Renewables Advisory Board 2 (2010) reported that offshore access related operations including the cost of maintenance dominate the total 3 offshore wind ongoing costs by 84%, whilst other costs such as licence fees, administration, and insurance 4 account for 16%. Current O&M activities in the offshore wind market is valued around £400m/year and 5 expected to increase to £950M/year by 2020; simultaneously, around 40% of the offshore wind turbines in the 6 UK are now approaching the end of warranty periods (WindPower Offshore, 2014). During the warranty period, 7 Original Equipment Manufacturers (OEMs) are responsible for all the O&M activities. In the post-warranty 8 period, the responsibility of minimising O&M costs and optimising operations may shift to the owners and the 9 operators.

10 The focus of this paper is to design and develop a discrete-event simulation model of an offshore wind farm that 11 allows the identification of favourable operating strategies for offshore wind O&M fleets. The aim is to provide 12 decision support to operators trying to manage a portfolio of wind turbines and a fleet of vessels for the mid-13 term (~5 years) offshore wind O&M planning. The model builds upon the existing literature by considering 14 different climate parameters, failure characteristics of the turbine components and the operational characteristic 15 of the transportation systems. The combination of the various O&M strategies defines a particular scenario, and 16 the simulation model developed allows the operator to assess the different strategies and compare them in terms 17 of generated revenue.

<sup>18</sup> The remainder of the paper is structured as follows. Section 2 reviews common procedures, aspects and issues <sup>19</sup> associated with maintenance of offshore wind farms, and existing offshore wind O&M models. The modelling <sup>20</sup> methodology is explained in Section 3. A case study is presented in Section 4 in order to illustrate the developed <sup>21</sup> approach. In Section 5, the results of the case study are evaluated. Subsequently, final recommendations are <sup>22</sup> provided in Section 6.

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#### <sup>1</sup> 2 Literature Review

# <sup>2</sup> 2.1 Economic aspects

3 O&M activities represent a significant share of the ongoing expenses during the lifecycle of the offshore wind 4 projects (Kaldellis and Kapsali, 2013). The O&M costs comprise of labour costs (technician costs), material 5 costs (component cost), transportation costs (vessels and associated cost), fixed costs (port, insurance, bidding, 6 etc.) and potential revenue losses. In this respect, it is important to identify the critical aspects that can 7 significantly reduce overall costs. It has been identified that the costs associated with transportation systems 8 account for 73% of the total O&M costs (Dinwoodie et al., 2013; Fingersh et al., 2006; Junginger et al., 2004; 9 Krohn et al., 2009). In addition, Van Bussel and Zaaijer (2001) demonstrated that the cost of lifting operations 10 using a vessel accounts for more than 50% of the overall O&M costs. Therefore, O&M activities have to be 11 planned carefully, considering the fact that economic benefits from producing more energy by increasing the 12 availability does not always lead to higher profits, since the increase in the total O&M costs may not be 13 compensated (Dalgic et al., 2015b; Santos et al., 2013).

## <sup>14</sup> **2.2 Transportation systems**

<sup>15</sup> The main tasks of the transportation systems in a maintenance operation are to provide accommodation for crew <sup>16</sup> and technical personnel, loading, transporting and assembling failed turbine components in the offshore <sup>17</sup> environment. During the operational span of an offshore wind farm, a number of scheduled and unscheduled <sup>18</sup> maintenance tasks have to be performed in order to keep the turbines operational and to sustain power <sup>19</sup> generation. In this respect, there are two main categories of O&M vessels in the offshore wind energy market: <sup>20</sup> vessels for minor maintenance and vessels for major maintenance.

21 Regarding vessels for minor maintenance, current transportation methods to offshore wind turbines include 22 mostly the use of small workboats which involves long shuttling journey times resulting in considerable wasted 23 technician time (Dalgic et al., 2015a; Dalgic et al., 2015c). Monohull boats, small catamaran vessels and Small 24 Waterplane Area Twin Hull (SWATH) vessels are generally utilised in minor maintenance operations, which 25 allow operators to keep the costs at acceptable levels. Catamaran configurations are often the preferred choice 26 but operations are restricted to relatively low wave heights (Tavner, 2012). Access and O&M of offshore wind 27 turbines are severely impacted by very poor weather tolerance, particularly in further offshore locations (Walker 28 et al., 2013). In some cases, operators consider a helicopter in the O&M fleet in order to provide access when the crew transfer vessels (CTVs) are not able to sail due to rough met-ocean conditions. Both transportation systems (CTV and helicopter) involve significant amount of costly and inefficient travel for technicians; in addition relatively small vessels pose a significant risk of capsizing in rough weather conditions (Al-Salem et al., 2006).

5 In addition to conventional CTVs, Offshore Access Vessels (OAVs) are occasionally considered by the offshore 6 wind operators in their O&M fleets. These larger vessels (~50 m) have better operational capability than 7 conventional CTVs and are generally equipped with dynamic positioning systems. Additionally, motion-8 compensating gangways are typically installed on OAVs in order to transfer technicians on the wind turbine in 9 rough weather, in which CTVs cannot operate (Dai et al., 2013; O'Connor et al., 2013). Cranes on these vessels 10 provide ability to transfer medium weight components from vessels' deck directly to offshore wind turbine 11 platforms. OAVs are designed to stay in the offshore wind farms longer periods and therefore the travels 12 between the sites and the O&M ports are minimised. These advantages make OAVs an adequate candidate for 13 the offshore wind O&M activities. However, the charter cost of these vessels are higher than CTVs, which is a 14 major issue considering the fact that the operators intend to minimise the O&M costs. The generic criteria related 15 to human performance are well established for seamen but not so well established for O&M technicians (Wu, 16 2014). In addition, quality and duration of sleep are impaired by disturbance associated with ongoing tasks and 17 environmental factors (e.g. noise, shared cabins, poor air quality) in offshore environment; and therefore has 18 adverse effects on day-to-day performance and alertness of the O&M technicians (Anderson and Horne, 2006; 19 Belenky et al., 2003; Parkes, 2010; Townsend et al., 2012). Moreover, the use of OAVs is not well defined due 20 to immaturity of the industry. Therefore, OAVs are not considered as a permanent solution like CTVs; instead, 21 these vessels are chartered for shorter periods.

<sup>22</sup> In the case of blade, generator, gearbox or tower failures, small maintenance vessels are not adequate to perform <sup>23</sup> the replacement of damaged components. Instead, one of the jack-up, leg-stabilised or heavy lift vessels has to <sup>24</sup> be utilised considering the properties of damaged component (height, weight, etc.) and the capability of the <sup>25</sup> vessel (lifting capacity, operational water depth, etc.). Jack-up vessels are capable of raising their hulls over the <sup>26</sup> sea-surface, station their legs on the sea floor, which provides very stable environment for crane operations <sup>27</sup> under rough sea conditions. Leg-stabilised vessels are very similar to the jack-up vessels. Instead of lifting the hull over the sea surface, leg-stabilised vessels, which are ideal for operations in shallow sites, use their legs to
 stabilise the hull. However, the number of leg stabilised vessels is considerably low in the market (EWEA,
 2011). Heavy lifters are capable of lifting extensive loads, which can be experienced in offshore wind industry;
 on the other hand the charter rates of heavy lifting vessels are excessively high (Dalgic et al., 2014; DNV, 2011).
 Therefore, jack-up vessels are the most utilised vessels for major maintenance operations in offshore wind
 energy market (Dalgic et al., 2015d).

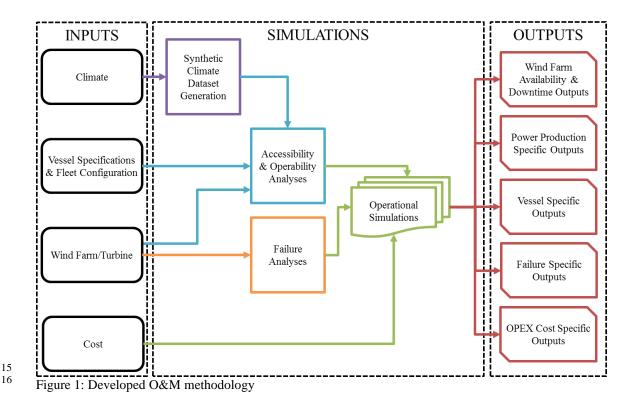
#### <sup>7</sup> 2.3 Existing offshore wind O&M models

8 To support operators in optimising O&M activity, different models have been developed to analyse offshore 9 wind O&M activities. CONTOFAX, developed by TU Delft, utilises Monte-Carlo simulations to analyse state 10 of every component over a period of time (Bussel and Schöntag, 1997). Bussel and Bierbooms (2003) 11 investigated inflatable boats, special offshore transportation systems and helicopters for offshore wind farm 12 O&M activities. The BMT group developed the BMT MWCOST model that considers the significant wave 13 height observations as a limitation for the vessel access (Stratford, 2007), while GL-Garrad Hassan's O2M 14 model, based on work conducted by Bossanyi and Strowbridge (1992), takes the wave height values into account 15 and performs time domain Monte-Carlo simulations (Philips et al., 2006). Other models have investigated 16 integration of remote condition monitoring and fault prediction hardware and software into O&M strategies 17 (Lyrner et al., 2006) or considering corrective maintenance activities and wind forecasting (Besnard et al., 18 2009). The ECN O&M Tool is generally considered to be the most comprehensive tool for analysing O&M 19 costs and downtime (Curvers and Rademakers, 2004a, b; Ramakers et al., 2004) and has received a validation 20 statement from Germanischer Lloyd (Rademakers et al., 2011).

There are limitations with the current portfolio of developed models. None of the previously mentioned models consider additional climate parameters such as wave period or visibility within their climate modelling. In addition, these models are not able to demonstrate the influence of different operating strategies for the entire O&M fleet. Subsequently, offshore access related operations are generally overly simplified or modelled in a crude way. Different transportation systems such as CTVs, helicopters, and jack-up vessel are highly utilised within an offshore project lifecycle, the influence of these transportation systems on the O&M lifecycle cost cannot be thoroughly considered from the previously mentioned models. Given the contribution of O&M to 1 LCOE, a better understanding about the usage of different transportation systems is required. From this, a 2 favourable operating strategy for the entire O&M fleet can be developed.

#### 3 Methodology 3

4 In this study, a time domain Monte-Carlo simulation approach is implemented in order to identify possible 5 improvements for the planning of offshore wind O&M activities. In this respect, the major aspects that influence 6 the O&M activities are modelled in a comprehensive manner. In addition, an advanced O&M fleet model is 7 developed to support the offshore wind farm operators/developers in the decision stage. In this context, Figure 8 1 shows the flowchart of the developed methodology illustrating the information flow between different 9 sections. The developed methodology is divided into three main sections: Inputs, Simulations, and Outputs. The 10 inputs section is the stage that the information about a case is defined and this specific information is delivered 11 to simulations section. Thereafter, the specific information is processed, analysed within specific sub-sections 12 and the operational simulations are performed. The results of the operational simulations are averaged in the 13 outputs section and final results are presented. In the following sections details are provided related to each 14 section and sub-section of the proposed approach.



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#### <sup>1</sup> **3.1** Simulation inputs

The simulation inputs introduce the information that has to be defined to run the O&M simulations. In order to improve the reading quality of the article, the name and the explanation of the inputs are provided in the tables at the end of each section. In this context, the numbers within the brackets "[]" denote the inputs. Selfexplanatory inputs are referred and only described in the associated tables.

#### <sup>6</sup> 3.1.1 Climate

7 Climate inputs [1-7] in the developed methodology are explained in Table 1. Offshore access by 8 vessels/helicopter is influenced by the inputs [1-5]; whilst the turbine power production is only influenced by 9 the input [1]. The inputs [4-5] are utilised to calculate the length of period with sun light in each working shift, 10 which is a constraint for CTV, helicopter, and OAV access. The input [6] is related to the helicopter operations, 11 since helicopters can only fly if the visibility is sufficient enough (Joint Aviation Authorities, 2004). If the wind 12 speed observations are measured from a single altitude, these values have to be extrapolated/interpolated to the 13 hub level and sea level. In this context, sea level observations are used for the operational activities; on the other 14 hand, hub level observations are used for the power production calculations, for the maintenance activities that 15 require equipment lifting, and also for the helicopter access. Although there are many models to extrapolate 16 wind speed to different altitudes, the wind power law developed by Justus and Mikhail (1976) is the most widely 17 used method (Firtin et al., 2011); therefore, the wind power law in Equation 1 is adopted to calculate the wind 18 speed values at hub level and sea level.

#### $v_2/v_1 = (h_2/h_1)^{\alpha}$ Equation 1

<sup>19</sup> where  $v_2$  is wind speed at height  $h_2$ ,  $v_1$  is wind speed at height  $h_1$ . The input [7]  $\alpha$  is not a constant value; it <sup>20</sup> varies depending on atmospheric conditions, temperature, pressure, humidity, time of the day and nature of <sup>21</sup> terrain (Manwell et al., 2009).

22	Table 1: Climate inputs

No	Name	Explanation	Unit
1	Wind speed	Historical wind speed observations	m/s
2	Wave height	Historical wave height observations	m
3	Wave period	Historical wave period observations	sec
4	Sun-rise time	Sun-rise times in each day of the year	hh: mm
5	Sun-set time	Sun-set times in each day of the year	hh: mm
6	Probability of good visibility	Probability of good visibility for each month of the year	%
7	Shear component	Constant for wind power law equation	N/A

1 In addition to the explanation of the climate inputs, the limiting climate aspects for each transportation system 2 are provided in Table 2. It is assumed that the CTVs and helicopter can perform technician transfer after the sun 3 rises; in the same manner the CTVs and helicopter have to sail/fly back to the maintenance port before the sun 4 sets. In the context of visibility, a random number between 0 and 100 is generated (in order to be consistent with 5 the probability of good visibility values) for each day of the simulations. If the randomly generated number in 6 a particular repair day is higher than the probability of good visibility value associated with that particular 7 month, the helicopter operations are ceased for that repair day. On the contrary, if the randomly generated 8 number is lower than the probability of good visibility value of that month, the helicopter can be utilised.

No	Name	CTV	Helicopter	OAV	Jack-up Vessel
1	Wind speed at sea level	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$
2	Wind speed at hub level		$\checkmark$		$\checkmark$
3	Wave height	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$
4	Wave period	$\checkmark$			
5	Sun-rise time	$\checkmark$	$\checkmark$	$\checkmark$	
6	Sun-set time	$\checkmark$	$\checkmark$	$\checkmark$	
7	Probability of good visibility		$\checkmark$		

## <sup>10</sup> **3.1.2** Vessel specifications & fleet configuration

The vessel specifications and fleet configuration sub-section comprises of CTV, helicopter, OAV, and jack-up vessel specific inputs. The CTV inputs [1-12] display the generic characteristics of the CTVs (Table 3). The input [13] is the time that day shift starts, for which the length of a day shift is assumed to be 12 hours in the developed methodology. Due to safety constraints, the offshore wind operators may need to define the input [14] in order to limit the maximum number of operations that can be done by a CTV in a single shift; so that the CTVs can react to emergency situations quicker. The inputs [15-17] are the additional parameters required to present the operational practice in a more advanced manner.

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No	Name	Explanation	Unit
1	Number of CTVs	Size of CTV fleets	vessel
2	Vessel type	Hull type of CTV	N/A
		• Catamaran	
		• Monohull	
3	Length	Vessel length	m
4	Breadth	Vessel breadth	т
5	Draught	Vessel draught	т
5	Displacement	Vessel displacement	ton
7	Installed power	Total installed power	kW
8	Technician capacity	Total technician capacity	person
)	Operational speed	Speed at maximum continuous power	knot
10	Fuel consumption	Fuel consumption at operational speed	mt/hour
11	Max. op. wave height	Limiting wave height	m
12	Max. op. wind speed	Limiting wind speed at sea level	m/s
13	Shift start	The start time of the shift	hh: mm
14	Maximum visit per CTV	Maximum number of operations that can be done by a CTV in a single shift	turbine
15	Inter transit time	Time required to travel between turbines	minute
16	Time to start work	Time required to transfer equipment from CTV to nacelle level	minute
17	Minimum working limit	Minimum productive time for making a working shift cost-effective	hour

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3 Although helicopters are not widely utilised at present in the offshore wind farm O&M activities, they have 4 different operational characteristics than other marine transportation systems; therefore, helicopter operations 5 are also considered in the methodology in addition to conventional transportation systems. The helicopter 6 specific inputs [1-5] are listed in Table 4. Due to high capital investment required, offshore wind farm operators 7 generally charter a helicopter for a certain number of annual flying hours as the input [1]. The inputs [2-5] 8 display the generic characteristics of the helicopter. It is assumed that helicopter and CTV working shifts start 9 at the same time.

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 Table 4: Transportation inputs (Helicopter)

No	Name	Explanation	Unit
1	Contract hour	Certain number of annual flying hours	hour
2	Operational speed	Speed at maximum continuous power	knot
3	Fuel consumption	Fuel consumption at operational speed	mt/hour
4	Max. op. wave height	Limiting wave height	m
5	Max. op. wind speed	Limiting wind speed at hub level	m/s

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12 The OAV specific inputs [1-6] are listed in Table 5. The OAV is envisaged to have a motion compensating 13 system for the technician transfer and a crane to transfer the mid-size components from OAV's deck to turbine's 14 lower platform. Due to high capital investment requirement, it is assumed that the offshore wind farm operators 15 charter an OAV for the period defined as the input [1]. A mobilisation fee is often included for specialised <sup>1</sup> vessels to compensate the vessel owner for the time and expense to get the vessel on site and ready for work.
<sup>2</sup> The vessel owner then charges by the day as the vessel is operated. Likewise, the input [2] has to be defined in
<sup>3</sup> order to address the period between the vessel is chartered and it arrives at the offshore wind farm location. The
<sup>4</sup> inputs [3-6] display the generic characteristics of the OAV. It is assumed that OAV and CTV working shifts
<sup>5</sup> start at the same time.

<sup>6</sup> Table 5: Transportation inputs (OAV)

No	Name	Explanation	Unit
1	Charter length	Certain number of charter days	day
2	Mobilisation time	Time required for vessel arrival	day
3	Operational speed	Speed at maximum continuous power	knot
4	Fuel consumption	Fuel consumption at operational speed	mt/hour
5	Max. op. wave height	Limiting wave height	m
6	Max. op. wind speed	Limiting wind speed at sea level	m/s

<sup>8</sup> In the case of wind turbine blade, generator, gearbox or tower failures, CTVs or OAVs cannot perform the <sup>9</sup> replacement of damaged components; therefore, a jack-up vessel is chartered or purchased. In the maritime <sup>10</sup> industry, voyage charter, time charter and bareboat charter are the commonly used types of contractual <sup>11</sup> arrangements (Stopford, 2009; Watson, 1998). The jack-up vessel chartering strategy is adopted from Dalgic et <sup>12</sup> al. (2014).

<sup>13</sup> In this context, the jack-up vessel specific inputs [1-15] are listed in Table 6. If the vessel is purchased, the <sup>14</sup> inputs [2-6] are not taken into account. For the fix of fail alternative, there is a significant uncertainty on the <sup>15</sup> mobilisation time due to the insufficient number of jack-up vessels in the offshore wind market. Therefore, the <sup>16</sup> mobilisation time for the jack-up vessel operations is defined through the selection of a random value from a <sup>17</sup> triangular distribution, for which the lower limit, mode and upper limit are indicated by the inputs [3-5], <sup>18</sup> respectively.

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<sup>1</sup> Table 6: Transportation inputs (Jack-up vessel)

No	Name	Explanation	Unit
1	Charter type	Type of the contractual agreement	N/A
		• Fix on fail	
		• Purchase	
2	Charter length	Certain number of charter days	day
3	Mobilisation time (opt.)	Mobilisation time in optimistic scenario	day
4	Mobilisation time (exp.)	Mobilisation time in expected scenario	day
5	Mobilisation time (pes.)	Mobilisation time in pessimistic scenario	day
5	Batch repair threshold	Number of subsequent failures before chartering a jack-up vessel	failure
7	Component capacity	Number of components that jack-up vessel can transport	componen
8	Port re-supply time	Time required to replenish provisions, fuel, water, etc.	hour
9	Jack-up time	Time required for jacking up	hour
10	Hub removal time	Time required for removing hub	hour
1	Operational speed	Speed at maximum continuous power	knot
12	Fuel consumption	Fuel consumption at operational speed	mt/hour
13	Max. op. wave height	Limiting wave height	m
14	Max. op. wind speed	Limiting wind speed at sea level	m/s
15	Lifting wind speed limit	Limiting wind speed at hub level	m/s

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<sup>3</sup> In some circumstances, if the power production is limited or the charter rates are significantly high, it might be <sup>4</sup> cost-effective to wait (the input [6]) before chartering the jack-up vessel; so when the jack-up vessel arrives at <sup>5</sup> the offshore wind farm, multiple failures can be repaired. It is assumed that the technicians on the jack-up vessel <sup>6</sup> work 24 hours on three shift basis; therefore, a shift start input is not defined for the jack-up vessel operations. <sup>7</sup> The inputs [7-15] display the generic characteristics of the jack-up vessel.

## <sup>8</sup> 3.1.3 Wind farm/turbine

9 Table 7 shows the wind farm specific inputs [1-18]. The inputs [1-7] display the generic characteristics of the 10 wind farm/turbines. The inputs [8-14] symbolise the failure specific aspects. The inputs [15-18] are related to 11 the preventive maintenance activities. Through the definition of the input [18], the influence of different 12 preventive maintenance practices can be investigated. In this context, 'Corrective maintenance or preventive 13 maintenance', and 'Preventive maintenance after corrective maintenance', and 'Preventive maintenance only 14 after corrective maintenance' are considered in the developed methodology. The O&M technicians cannot 15 perform preventive maintenance after corrective maintenance and therefore the teams have to be allocated to 16 either corrective or preventive maintenance tasks, if 'Corrective maintenance or preventive maintenance' option 17 is considered in the simulations. If 'Preventive maintenance after corrective maintenance' option is considered, 18 the O&M technicians can perform preventive maintenance after corrective maintenance is completed, so the 19 teams are responsible for any kind of maintenance tasks. If 'Preventive maintenance only after corrective 20 maintenance' option is considered, the preventive maintenance can only be done after corrective maintenance

is completed, so the teams cannot be allocated for only performing preventive maintenance. These three
 alternatives provide a detailed understanding about what order the preventive maintenance needs to be
 performed for increased power production.

4	Table 7:	Wind	farm/turbine	inputs
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No	Name	Explanation	Unit
1	Number of turbines	Number of turbines in the offshore wind farm	turbine
2	Generation capacity	Generation capacity of each individual turbine in the wind farm	MW
3	Distance	Distance between the offshore wind farm and the maintenance port	nmile
4	Hub height	The altitude of hub from sea level	т
5	Power curve	Power production value associated with different wind speed values	N/A
6	Cut in speed	Wind speed limit which the turbine starts to produce power	m/s
7	Cut out speed	Wind speed limit which the turbine stops to produce power	m/s
8	Failure mode	Failure name of failure type	N/A
9	Required repair time	Calendar hours to complete specified failure modes	hour
10	Required number of technicians	Number of technicians required to perform the repair of the specified failure modes	person
11	Transportation type	Type of transportation which will be allocated when the associated failure mod occur	es N/A
12	Repair window	Either cumulative window or single window to perform the repair of specified failure modes	hour
13	Failure impact	The percentage of power production decrease when the associated failure modes occur	%
14	Failure distribution	Failure distribution of the specified failure modes	N/A
15	Required preventive maintenance	Calendar hours to complete the preventive maintenance for each turbine	hour
16	Preventive maintenance technicians	Number of technicians required to perform the preventive maintenance	person
17	Preventive maintenance start month	Start month of the preventive maintenance	N/A
18	O&M technician	The order of O&M technician allocation	N/A
	allocation order	• Corrective maintenance or preventive maintenance	
		• Preventive maintenance after corrective maintenance	
		• Preventive maintenance only after corrective maintenance	

# <sup>5</sup> 3.1.4 Cost

6 Table 8 shows the cost inputs [1-21]. The input [1] is required to calculate the total revenue, whilst the inputs 7 [2-21] are associated with actual cost calculations. It is assumed that CTVs and helicopter are available at all 8 times, effectively chartered for the life duration of the wind farm lifecycle; therefore, the inputs [2-6] are 9 considered for the entire simulation period. The inputs [7-9] are only consider for the periods that the OAV is 10 under charter agreement. If the jack-up vessel is charted for a certain period, the inputs [10-11] are taken into 11 account; if the jack-up vessel is purchased, the inputs [12-13] are considered for the jack-up vessel associated 12 costs. The inputs [14-17] are associated with the utilisation of the associated transportation systems. The inputs 13 [18-21] are additional cost inputs, by which comprehensive examination on the cost drivers can be performed.

<sup>1</sup> Table 8: Cost inputs

No	Name	Explanation	Unit
1	Electricity price	Unit electricity price	£/MWh
2	CTV charter cost	Charter cost of the CTV	£/day
3	CTV technician cost	Cost of the CTV technician	£/year
4	CTV fixed cost	Fixed cost of the CTV such as insurance, maintenance, etc.	£/year
5	Helicopter charter cost	Charter cost of the helicopter	£/hour
6	Helicopter technician cost	Cost of the helicopter technician (may require training)	£/year
7	OAV charter cost	Charter cost of the OAV	£/day
8	OAV mobilisation cost	Mobilisation cost of the OAV	£
9	OAV technician cost	Cost of the OAV technician	£/year
10	Jack-up vessel charter cost	Charter cost of the jack-up vessel	£/day
11	Jack-up vessel mobilisation cost	Mobilisation cost of the jack-up vessel	£
12	Jack-up vessel technician cost	Cost of the jack-up vessel technician (high skilled)	£/year
13	Jack-up vessel CAPEX	Initial investment required to purchase the jack-up vessel	£
14	CTV fuel cost	Fuel cost of the CTV	$E/m^3$
15	Helicopter fuel cost	Fuel cost of the helicopter	$E/m^3$
16	OAV fuel cost	Fuel cost of the OAV	$E/m^3$
17	Jack-up vessel fuel cost	Fuel cost of the jack-up vessel	$E/m^3$
18	Preventive maintenance cost	Cost of materials and equipment required to perform the task	£/turbine/year
19	Component repair cost	Cost of each component repair (only OEM)	£/repair
20	Port and operations cost	Cost of port, repair dock, maintenance base, helipad, etc.	£/year
21	Insurance cost	Insurance of the wind farm	£/year

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#### 3.2 Simulations

#### <sup>4</sup> 3.2.1 Synthetic climate dataset generation

5 Generally historical climate datasets are not sufficient to cover the entire lifecycle of offshore wind farms. 6 Although the data may cover the past 20-25 years, it is rare that the climate data will present exactly the same 7 track in the following years. On the other hand, it is important to generate datasets that preserve characteristics 8 of the original dataset. The generation of different climate datasets minimises the uncertainty of the simulation 9 results. If a single dataset is employed in the simulations, the risk of experiencing rougher climate conditions 10 may be ignored. Similarly, experiencing lower wind speed values in the future may create risk on the power 11 production values. Therefore, wind speed, wave height, and wave period historical time series are modelled; 12 and the developed climate model is employed to generate wind speed, wave height, and wave period time series 13 data at the beginning of each simulation. In this context, the modelling approach adopted in this work is a 14 correlated Multivariate Auto-Regressive (MAR) approach. The general form for an AR model, normalised by 15 the mean of the data is described in Equation 2.

$$X_t = \mu + \varepsilon_n + \sum_{i=1}^p A_{np}(X_{n-i} - \mu)$$
 Equation 2

<sup>1</sup> where *X* is the time series variable to be modelled with mean  $\mu$ , *p* is the model order, *A* is the correlation <sup>2</sup> coefficient, *n* is the simulated index and  $\varepsilon$  is a random noise term.

3 Due to the presence of a Gaussian noise term  $\varepsilon$ , this equation is valid only for the datasets that can be 4 approximated by a normal distribution. However, wind speed, wave height, and wave period datasets cannot be 5 represented by a normal distribution and therefore the non-stationary trends have to be removed. By removing 6 a fit of monthly mean and diurnal variation from wind speed dataset, the overall distribution approximates a 7 normal distribution (Hill et al., 2012). For significant wave height, it is necessary to remove a fit of monthly 8 mean values and then apply a logarithmic transformation on the data as shown in Equation 3 (Cunha and Guedes 9 Soares, 1999). For wave period, it is only necessary to remove seasonal trends. For the multivariate case 10 presented in this work, a modified Box-Cox transformation has been used in place of a logarithmic 11 transformation, shown in Equation 4. The value of the transform coefficient  $\Lambda$ , can be tuned iteratively to capture 12 the observed level of correlation between the wind and wave values in the data while preserving individual 13 wave climate characteristics.

$$Y_t = \frac{Hs_t^{\Lambda - 1}}{\Lambda}, \text{ for } \Lambda \neq 0$$
 Equation 3

Equation 4

$$Y_t = T(Hs_t) = ln(Hs_t)$$
, for  $\Lambda = 1$ 

<sup>14</sup> The three de-trended series are then simulated. Correlation is captured by substituting the Gaussian <sup>15</sup> pseudorandom vector normally used for  $\varepsilon$  in Equation 4 with the covariance matrix of the three de-trended <sup>16</sup> series. The determination of AR coefficients and model generation is implemented using the ARfit algorithm in <sup>17</sup> MATLAB developed by Neumaier and Schneider (2001). Order is chosen by optimising Schwarz's Bayesian <sup>18</sup> Criterion and coefficients using stepwise least squares estimation process. The simulation methodology <sup>19</sup> maintains persistence characteristics, seasonality and correlation between wind speed, wave height, and wave <sup>20</sup> period time series of the observed site.

# <sup>21</sup> **3.2.2** Accessibility & operability analysis

<sup>22</sup> 3.2.2.1 CTV

<sup>23</sup> In order to represent the operational environment, the CTV operations are modelled in a comprehensive way
<sup>24</sup> representing a more sophisticated analysis approach than previous work in this area. In this context, transit time
<sup>25</sup> calculations are performed in each repair day, and 'Travel time', 'Non-productive time', and 'Productive time'

values for each CTV in the O&M fleet are calculated considering the fact that each time step has different
 climate conditions. The steps below are followed in the transit time modelling, and repeated for each CTV;

Calculation of total efficiency

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- Calculation of calm water resistance
- <sup>5</sup> Calculation of added resistance and total resistance
  - Calculation of speed loss and achievable speed for each time speed in waves
- Calculation of transit time and total fuel consumption through utilising achievable speed, time step
   interval and the total distance between local port and offshore wind farm

<sup>9</sup> In the transit time calculations, the power and thrust are assumed constant; therefore, the fuel consumption of <sup>10</sup> the vessel on the resolution basis will also be constant. However, due to the change in the vessel speed, there <sup>11</sup> will be variations in the total time spent for the incoming and outgoing journeys that will create fluctuations in <sup>12</sup> the fuel cost calculations. After calculating the achievable speed for each time step, the following step is the <sup>13</sup> calculation of distance, which each CTV can log in each resolution interval.

$$Distance_i = Time Step Interval \times V_{a_i}$$
 Equation 5

<sup>14</sup> When the summation of individual distances becomes equal to the total distance between the loading port and <sup>15</sup> the offshore wind farm, it is assumed that the vessel arrives at the offshore wind farm. Thus,

$$Total \, Distance = \sum_{i}^{n} Distance_{i}$$
 Equation 6

<sup>16</sup> Since the length of the working shift is assumed as 12 hours, the remaining time in the shift after the 'Travel <sup>17</sup> time' is deducted is the summation of 'Productive time' and 'Non-productive time'. The 'Non-productive time' <sup>18</sup> is the period, which the climate conditions and the daylight hour limitation causes delays and therefore CTVs <sup>19</sup> stay at the port. The 'Productive time', which the technicians can perform the actual repairs, is the remaining <sup>20</sup> period after 'Travel time' and 'Non-productive time' values are deducted from the length of the working shift.

#### <sup>21</sup> **3.2.2.2** *Helicopter*

<sup>22</sup> A helicopter is assumed to perform all the repairs that CTVs can perform for the 'Failure modes' where the <sup>23</sup> specified 'Required number of technicians' is equal or less than the number of technicians on the helicopter. At this stage, it is important to highlight that the preferred transportation system for minor failures is the CTVs; therefore if all the failures are attended by the CTVs, the helicopter stays at the heliport. If the weather conditions, especially sea conditions become worse and therefore if CTVs cannot travel, the helicopter can transfer technicians. Similarly, if the number of simultaneous failures is more than the CTV fleet can access in a single shift, the helicopter is again utilised.

#### <sup>6</sup> 3.2.2.3 OAV and Jack-up Vessel

7 The operability analysis of OAVs and jack-up vessels are dependent on the generated wind speed and wave 8 height values. If either generated wind speed or wave height is higher than the 'Maximum operational wind 9 speed' limit or 'Maximum operational wave height' limit, these vessels are required to cease the maintenance 10 operation. In addition for jack-up vessels, the minimum weather window should be longer than the time required 11 for jacking-up. In this case, the minimum weather window is defined by the consecutive time steps in which 12 wave height and wind speed values are lower than the limiting wave height and wind speed for jacking 13 operation. If the minimum weather window is shorter than the jacking-up period, the vessel stays at the site until 14 the conditions are met. When the minimum weather window is sufficient enough, the vessel jacks-up. Due to 15 the fact that the major O&M activities require heavy equipment lifting, wind speed at hub level is an extra 16 limitation for the jack-up O&M operations. As for the jacking operation, the minimum weather window should 17 be longer than the time required to perform the repair or the replacement. If the weather window is shorter than 18 the repair period, the vessel waits as jacked-up until the conditions are met.

#### <sup>19</sup> **3.2.3 Failure analysis**

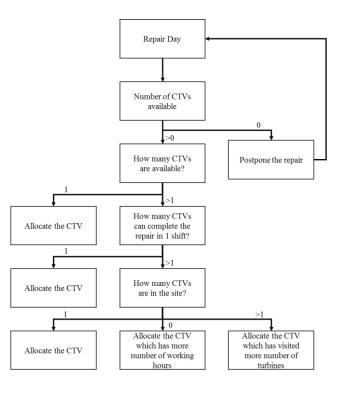
20 The wind turbine system failure process is implemented using the methodology developed by Billinton (1970). 21 Each wind turbine is modelled as a series of subsystems, for which a probability of 'moving from an operating 22 state to a failed or reduced operating state' is envisaged. The probability of shifting states is governed by the 23 component reliability, which is the probability that the component performs satisfactorily for the specified time 24 interval t. In this context, the hazard rates h(t), which are determined from observed annual failure rates in 25 operational history and expert judgement, are utilised to calculate the reliability of the turbine components. 26 Time dependent hazard rates provide flexibility to investigate the change of reliability throughout the simulated 27 life time. If constant hazard rates are employed, there will no change in the reliability within the simulation 28 period. In the simulation block, a uniformly distributed random number, R, in the interval 0 to 1 is generated at 1 each time step and then employed to determine if a failure has occurred. In this context, if the generated random 2 number is higher than the reliability value of the component at that particular time step, the component fails, 3 otherwise continues functioning. If a component has a higher hazard rate, there is a higher probability that this 4 particular components fails. The turbines are modelled as a series of sub-systems; therefore, any component 5 failure causes entire turbine system failure. After a failure is identified, O&M technicians are allocated to 6 perform the repair. The transfer system of the O&M technicians are defined by the 'Transportation type'. The 7 allocation of the O&M technicians and the actual O&M activity is explained in the operational simulations 8 section in detail.

#### <sup>9</sup> **3.2.4 Operational simulations**

10 The operational simulation stage is the major section where all the information from previous blocks are 11 synthesised. After identifying a failure, the simulations continue depending on the 'Transportation type' defined 12 for that particular 'Failure mode'. When a maintenance team is allocated to a wind turbine, the priority is 13 finalising the corrective maintenance task as soon as possible. If all the O&M technicians are either occupied 14 with repair operations or are not on duty, the turbine remains down, and an O&M technician will not be assigned 15 until the regular number of technicians becomes available to work. When O&M technicians become available 16 and are assigned to conduct the repair work, they can only be deployed to the failed turbine if the current weather 17 conditions are within the turbine access limits as defined in the model inputs. If these conditions are not met, 18 the O&M technicians stay at the base/port and are only dispatched to the assigned turbine once the weather 19 conditions improve within the access limits.

<sup>20</sup> If the 'Transportation type' is a CTV/Helicopter;

These transportation systems are assumed to be occupied by the maximum number of technicians defined by the number of technicians for each transportation type at the beginning of each shift. When the failure is repaired, same technician team continues to do preventive maintenance (if required/applicable), otherwise stays in the wind turbine. The teams are not allocated to different wind turbines in a single shift. CTVs are allocated dynamically during the operating shift. In this context, Figure 2 shows the CTV and technician allocation order and the dependencies between simultaneous operations in a repair day. It is aimed to sustain the power productivity at highest level; therefore the capability of completing the repair task in a single shift is the most 1 important consideration in the CTV allocation. If there are more than one CTV that can complete the task in a 2 single shift, it is targeted to use minimum number of vessels; therefore it is prioritised to utilise a CTV which is 3 already in the offshore wind farm. However, at the beginning of a repair shift, none of the CTVs would be 4 allocated; therefore the number of CTVs in the wind farm would be zero. In this case, having the maximum 5 number of working hours will be the consideration; thus, the number of turbines, which can potentially be visited 6 by this particular CTV, can be maximised. When all the explained priorities are taken into account, the CTV 7 with maximum number of working hours will be allocated at the beginning of the shift, and because this CTV 8 will be in the wind farm, it will again be allocated for the subsequent repairs until that particular CTV runs out 9 technicians or the number of visits becomes equal to the 'Maximum visit per CTV' value.



<sup>11</sup> Figure 2: CTV allocation

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<sup>12</sup> Helicopter operations are simulated after the main CTV operations, however the repair process is simulated <sup>13</sup> concurrently to other repair operations. Helicopter is only utilised if a number of criteria are met in order to <sup>14</sup> represent the current operational practices. In a single shift, the helicopter can perform visits only to the turbines <sup>15</sup> that have not been visited by any CTV (either due to high number simultaneous failures or higher accessibility <sup>16</sup> criteria for the helicopter). In this case, the helicopter is utilised for the repairs subject to having remaining flight <sup>17</sup> hours in the year and the site being accessible. <sup>1</sup> If the 'Transportation type' is a OAV;

OAV repairs are performed sequentially as soon as a failure of this category occurs. After the first failure is simulated, an OAV is mobilised. Once the mobilisation time is completed, the repairs can be performed subject to the 'Maximum operational wind speed' and 'Maximum operational wave height' limits of the OAV. The OAV remains in the wind farm for the duration of the charter period, but repair work and/or travels between wind turbines are only carried out during daylight hours and when climate conditions allow. Any unfinished maintenance at the end of charter period remains incomplete until another vessel is mobilised.

<sup>8</sup> If the 'Transportation type' is a jack-up vessel;

9 Jack-up vessel repairs are performed sequentially as soon as a failure of this category occurs. After the first 10 failure is simulated, a jack-up vessel is mobilised. Once the mobilisation time is complete repairs can be 11 performed subject to the 'Maximum operational wind speed' and 'Maximum operational wave height' limits of 12 the jack-up vessel. The jacking-up/down operations are determined by wave height and wind speed at sea level 13 values, whilst the main repair operation is performed subject to wind speed at hub level criteria. The jack-up 14 vessel remains available for the duration of the charter period and repair work and/or travels between wind 15 turbines or to port to re-supply are only carried out when climate conditions allow. Any unfinished jobs at the 16 end of charter period remain incomplete until another vessel is mobilised.

#### <sup>17</sup> **3.3 Outputs**

18 The generic principle of the outputs sections is to calculate each output specifically for each simulation and then 19 averaging these outputs when all the simulations are completed. Since, multiple simulations are run in order to 20 cover all possible situations such as bad weather and good weather years, high number and low number of 21 failures, etc., the outputs associated with each scenario will vary. By running a sufficiently large number of 22 simulations, the average results will converge to a final value and the variability across simulations will provide 23 a measure of uncertainty. The methodology provides major outputs such as availability, power production, 24 vessel utilisation, mean time to repair values, and cost attributes in order to support the decision making process. 25 This is required because, making the decision only by considering one attribute (i.e. costs) may lead the offshore 26 wind farm developers to wrong directions. In this context, the developed methodology provides outputs under 27 5 different main output sections as listed below;

- Wind farm availability and downtime outputs: Power based availability, turbine based availability, and
   percentage of completed preventive maintenance
  - Power production specific outputs: Power produced and power lost
    - Vessel specific outputs: Travel hours, utilisation, accessibility, contribution to downtime
    - Failure specific outputs: Mean time to repair (MTTR) values
  - OPEX cost specific outputs: Total charter cost, total fuel cost, total staff cost, total mobilisation cost, total OEM cost, total fixed cost, total O&M cost and revenue loss.

# <sup>8</sup> 4 Case study

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9 To illustrate the developed model, a case study has been performed and presented next. The O&M planning 10 strategy is investigated for an offshore wind farm consisting of 150 3.6 MW turbines. The FINO 1 research 11 mast, located 45km off the coast of Germany is utilised for the analysis (FINO, 2014). The FINO 1 met mast is 12 located within the German offshore wind development zone and can therefore be considered representative of 13 current and future offshore wind farms in Europe. For this study the period 2004 - 2012 inclusive has been 14 used. In order to cover different variations in the results due to randomisation of the climate parameters and the 15 variables in the Monte-Carlo simulation process, 100 simulations with a period of 5 years are used. In this 16 section, these inputs are defined to demonstrate a real case (Table A-1-Table A-7 in Appendix). Key inputs are 17 varied as in Table 9 in order to investigate the O&M strategy.

#### <sup>18</sup> Table 9: Variable inputs

Input name	Range	Interval
Shift start	06:00 am – 09:00 am	1 hour
OAV charter length	1 week – 4 weeks	1 week
Jack-up vessel charter type	Fix on fail – Purchase	N/A
Jack-up vessel charter length	2 weeks – 8 weeks (Fix on fail)	2 weeks
	5 years (Purchase)	N/A
Helicopter contract hour	500 hours – 1000 hours (Helicopter is considered)	250 hours
	0 hours (Helicopter is not considered)	N/A
Preventive maintenance start month	January – April – July – October	N/A
O&M technician allocation order	• Corrective maintenance or preventive maintenance	N/A
	• Preventive maintenance after corrective maintenance	
	• Preventive maintenance only after corrective maintenance	

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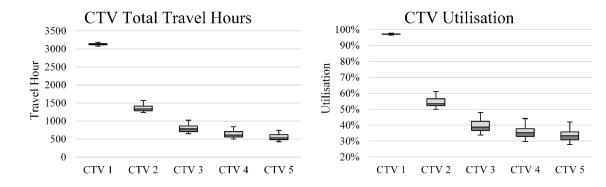
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#### <sup>1</sup> **5 Results**

2 In this section, the results of the O&M simulations are presented. Due to the fact there is no comprehensive 3 information in the literature regarding the consequences of partially completed preventive maintenance, the 4 completion of preventive maintenance is the first priority in the decision stage. Among 3840 scenarios that are 5 generated through the variable inputs in Table 9, preventive maintenance is 100% completed in 1283 scenarios. 6 In these 1283 scenarios, the 'Preventive maintenance start month' alternatives 'January', 'April', 'July', and 7 'October' months are observed 641, 640, 1, and 1 times, respectively. Therefore, it can be identified that starting 8 preventive maintenance in the second half of the year does not provide operators with sufficient time to complete 9 these activities. With respect to 'O&M technician allocation order', 'Corrective maintenance or preventive 10 maintenance', 'Preventive maintenance after corrective maintenance', 'Preventive maintenance only after 11 corrective maintenance' alternatives are observed 640, 641, and 2 times, respectively. These outputs show that 12 it is unlikely to complete preventive maintenance if separate O&M technicians are not allocated.

The 'Shift start' values '6:00 am', '7:00 am', '8:00 am', '9:00 am' are observed 321, 320, 320, and 322 times, respectively. Thus, the 'Shift start' value (within 6:00 am and 9:00 am) does not affect the proportion of completed preventive maintenance. The 'Helicopter Contract hour' values '0', '500', '750', '1000' are observed 320, 323, 320, and 320 times, respectively. In this respect, considering a helicopter within O&M fleet does not bring a noticeable advantage in the completion of preventive maintenance activities. Similarly, 'OAV Charter length', 'Jack-up vessel Charter type', 'Jack-up vessel Charter length' are distributed equally, since these variables are not associated with preventive maintenance activities.

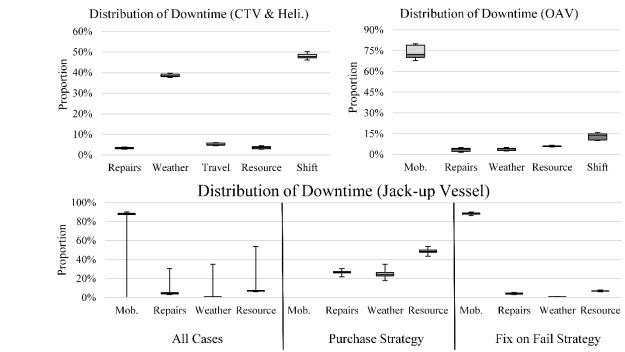
Figure 3 shows the total travel hours and the utilisation levels associated with each CTV. In this context, CTV-1 is the most utilised CTV within simulation period, and therefore the travels performed by CTV-1 are over 3,000 hours within 5 years. Due to the fact that the methodology is developed to utilise a CTV as high as possible, the utilisation and travel values of other CTVs are relatively lower than CTV-1. This situation allows minimising the travel and fuel costs, because allocating multiple CTVs to multiple failures instead of allocating a single CTV to multiple failures, considering the priority to complete the repairs in a single shift, results in an increase in travel costs. This is also because, the travel time between the offshore wind farm and the O&M port is significantly longer than the travels between turbines. So, it is important to minimise the travels between the
 O&M port and the offshore wind farm.



#### 3

<sup>4</sup> Figure 3: CTV travel hours and utilisation

5 The turbine downtime is required to be investigated in details to show the key aspects that cause the downtime. 6 In this context, Figure 4 demonstrates the proportion of different reasons that contribute to the total turbine 7 downtime. Since different transportation systems are allocated each 'Failure mode', the contribution to these 8 figures are also demonstrated by the associated 'Transportation type'. The 'Manual reset' and 'Minor' failures 9 are required to be repaired by the O&M technicians allocated by either CTVs or helicopter. In this respect, 10 'Shift' and 'Weather' have the highest 2 proportions in the total 'Manual resets' and 'Minor' failure downtime 11by 48% and 38% (on average), respectively. Due to the fact that these transportation systems (CTV and 12 helicopter) are utilised only in day shift, the failures during night can only be attended in the first following day 13 shift. In addition, these transportation systems have relatively lower operability and accessibility limitations 14 than other transportation types; therefore, the O&M activities cannot be performed due to rough 'Weather' by 15 38%. The remaining 14% of the failure downtime is shared by the 'Travel', 'Repairs', 'Resource'. It is important 16 to highlight the fact that 'Repairs' account for only 3% of the total 'Manual reset' and 'Minor' failure downtime, 17 so actual O&M activity has a very minor contribution to the total turbine downtime.



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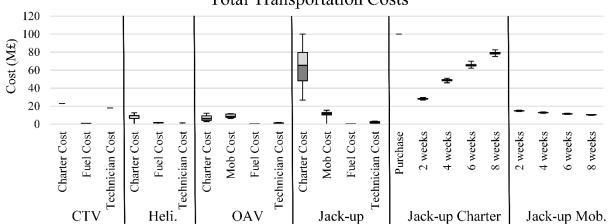
<sup>3</sup> Figure 4: Distribution of downtime

<sup>4</sup> The 'Medium' type O&M activities are postponed 73% (on average) due to OAV 'Mobilisation' (Figure 4). <sup>5</sup> OAVs cannot perform repairs during the night shift, so 'Shift' has the second highest proportion in the total <sup>6</sup> 'Medium' failure downtime by 13%. OAV has better capability, so 'Weather' is not a major issue for the OAV. <sup>7</sup> Due to the fact that the hazard rate of the 'Medium' failures is relatively lower, the number of simultaneous <sup>8</sup> failures is also lower; therefore it is unlikely to postpone repairs due to lack of 'Resource'. Similar to 'Manual <sup>9</sup> reset' and 'Minor' failures, 'Repairs' have a very minor contribution to the total downtime of the 'Medium' <sup>10</sup> failures.

<sup>11</sup> In order to ascertain higher accuracy in the results, downtime regarding jack-up vessel has to be investigated in <sup>12</sup> detail. In this respect, the downtime is grouped under 'Purchase' and 'Fix on fail' strategies. Due to the fact that <sup>13</sup> 'Mobilisation' is '0' for the 'Purchase' option, 'Repairs', 'Weather', and 'Resource' comprise all the downtime. <sup>14</sup> With regard to 'Purchase' strategy, 'Repairs', 'Weather', 'Resource' account for 27%, 24%, 49% (on average) <sup>15</sup> of the total 'Major' failure downtime, respectively. With regard to 'Fix on fail' strategy, the 'Mobilisation' is <sup>16</sup> the main cause of the downtime by 88% on average.

Figure 5 shows the distribution of total costs associated with each 'Transportation type'. Charter cost, fuel cost
 and technician cost account for 54%, 2% and 44% of the total CTV associated costs, respectively. With respect

1 to helicopter, charter cost has the largest contribution by 77%, while fuel cost and technician cost contribute by 2 13% and 10%. In the OAV related operations, mobilisation cost, charter cost, fuel cost and technician cost 3 account for 40%, 51%, 2% and 7% of the total OAV costs, respectively. On average, the charter cost of the 4 jack-up vessel dominates the jack-up vessel associated costs by 84%, while mobilisation cost, fuel cost and 5 technician cost contribute by 13%, 1%, 2%, respectively. However, the jack-up vessel charter cost and the 6 mobilisation cost have significant uncertainty; therefore, these aspects are demonstrated in detail, categorised 7 under 'Purchase' and 'Fix on fail' strategies. For 'Purchase' strategy, the cost of vessel is significantly higher; 8 on the other hand, the mobilisation cost is '0'. When the 'Charter length' values for the 'Fix on fail' strategy 9 are increased, the total charter costs increase proportionally to the number of days that the vessel is chartered. 10 On the other hand, the mobilisation costs decreases, because when the jack-up vessel is chartered for longer 11 period, the number of failures that can be repaired in a single charter period increases; thus the number of 12 charters, and eventually the number of mobilisation operations decrease.

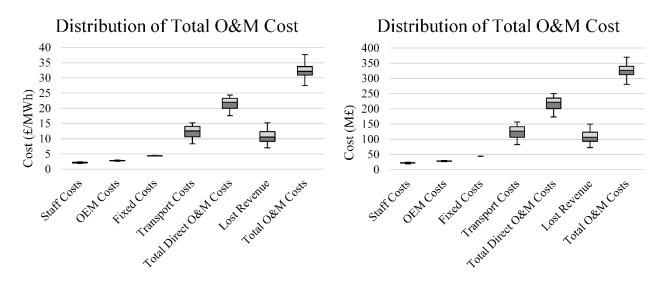


**Total Transportation Costs** 

<sup>14</sup> Figure 5: Distribution of transportation system associated costs

13

<sup>15</sup> Figure 6 demonstrates the distribution of total O&M costs. The graph on the left hand side shows the total O&M <sup>16</sup> cost per unit power production (£/MWh), whilst the graph on the right hand side shows the total O&M cost <sup>17</sup> spent within 5 years (simulation period). Cost per unit power production is a well-known criterion to assess the <sup>18</sup> performance of the O&M activities; on the other hand, it is also important to identify the actual costs that <sup>19</sup> operators have to finance. In this context, the total direct O&M cost consist of staff costs, OEM costs, fixed <sup>20</sup> costs, and transport costs. The total O&M costs are calculated by considering the lost revenue and the total direct O&M costs. It can be seen that the staff, the OEM, and the fixed costs show significantly low uncertainty,
 on the other hand the transport costs and the lost revenue have higher uncertainty. On average, the staff, OEM,
 fixed, transport costs and lost revenue account for 7%, 9%, 13%, 38%, and 33% of the total O&M costs,
 respectively.



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Figure 6: Distribution of total O&M cost

<sup>7</sup> Considering all the information above, the best and the worst O&M planning configurations are listed in Table
<sup>8</sup> 11 and Table 12, respectively. The variables in Table 11 and Table 12 are represented by the designated values
<sup>9</sup> as in Table 10 for presentation purposes. In these tables the rankings of the configurations are identified from
<sup>10</sup> the total O&M cost/production, since this output considers total O&M cost and total power production, and
<sup>11</sup> therefore shows the cost effectiveness of the O&M strategy.

12 As shown in Table 11 and Table 12, the best configurations include helicopter with '750' and '1000' contract 13 hours; on the contrary, helicopter is not considered in the worst configurations, which shows the importance of 14 the helicopter within the O&M fleet. The daily charter rate of the OAV is relatively higher; on the other hand 15 the production loss and the revenue loss due to OAV unavailability are more significant. Therefore, the OAV 16 is chartered '21' days or longer in the best configurations. The 'Fix on Fail' strategy is identified as the most 17 and the worst cost-effective jack-up vessel chartering strategy, but the charter length varies in the configurations. 18 The best jack-up vessel charter length is '14' days; because, the failures associated with the jack-up vessel occur 19 less frequently, so chartering the jack-up vessel for a longer period increases the revenue loss significantly, 20 which is not compensated by the power production increase. From availability point of view, 3% improvement

- <sup>1</sup> can be expected. Moreover, the total O&M cost can be decreased by 24% (from 36.64 £/MWh to 27.71 £/MWh)
- <sup>2</sup> by selecting the most favourable plan for the O&M fleet and associated activities. Therefore, the financial and
- <sup>3</sup> operational aspects are improved through the implementation of the methodology.
- <sup>4</sup> Table 10: Designated values for the variables

Value	Variable name	Unit
1	Shift start	hour
2	OAV charter length	days
3	Jack-up vessel charter type	N/A
А	• Fix on fail	
В	• Purchase	
1	Jack-up vessel charter length	days
5	Helicopter contract hour	hours
5	Preventive maintenance start month	N/A
7	O&M technician allocation order	N/A
А	• Corrective maintenance or preventive maintenance	N/A
В	• Preventive maintenance after corrective maintenance	N/A
С	• Preventive maintenance only after corrective maintenance	N/A
3	Power based availability	%
9	Total O&M cost	£/MWł

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Table 11: Best 10 offshore wind farm O&M planning configurations

			Var	riable (referring	g to Table 10)			
1	2	3	4	5	6	7	8	9
8	28	А	14	750	January	А	0.9391	27.46
7	28	А	14	750	January	В	0.9389	27.47
9	21	А	14	1000	April	А	0.9409	27.53
8	28	А	14	750	January	В	0.9383	27.54
7	21	А	14	1000	April	В	0.9399	27.56
7	28	А	14	1000	April	А	0.9399	27.59
8	28	А	14	1000	January	В	0.9404	27.60
7	21	А	14	1000	January	В	0.9393	27.67
6	28	А	14	750	January	А	0.9377	27.68
9	21	А	14	1000	April	В	0.9392	27.71

<sup>&</sup>lt;sup>6</sup> <u>Table 12: Worst 10 offshore wind farm O&M planning configurations</u>

			Va	riable (referri	ng to Table 10)			
1	2	3	4	5	6	7	8	9
7	7	А	56	0	April	В	0.9032	37.62
9	7	А	56	0	April	А	0.9079	37.23
6	7	А	56	0	April	А	0.9070	37.07
6	7	А	56	0	January	В	0.9069	36.97
9	7	А	56	0	April	В	0.9078	36.89
6	7	А	56	0	April	В	0.9077	36.85
9	7	А	56	0	January	В	0.9079	36.83
6	7	А	56	0	January	А	0.9078	36.78
7	7	А	56	0	April	А	0.9065	36.71
8	7	А	56	0	January	В	0.9072	36.64

#### 6 Concluding Remarks

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<sup>2</sup> In this study, a comprehensive novel methodology of O&M planning for offshore wind farms is introduced <sup>3</sup> towards optimum O&M cost, minimum revenue loss, and maximum power production. Climate parameters, <sup>4</sup> failure characteristics of different failure modes, and different transportation systems (helicopter, crew transfer <sup>5</sup> vessel, offshore access vessel and jack-up vessel) are simulated within the operational phase of an offshore wind <sup>6</sup> farm. The results are demonstrated to support the decision making related to the O&M and logistics strategy. <sup>7</sup> The consequences of different decisions can be assessed and favourable solutions, which bring the highest <sup>8</sup> financial and operational benefits, can be selected.

9 In this context, it is identified that the cost of jack-up vessel related operations is significantly higher than any 10 other transportation system in the O&M fleet. Therefore, the jack-up vessel charter period has to be investigated 11 carefully, before chartering the jack-up vessel. From preventive maintenance point of view, second half of the 12 year can be too late to start these operations. Due to higher accessibility than conventional CTVs, helicopter is 13 an important aspect in the O&M fleet; however, the helicopter operations can be performed in a more cost-14 effective way when the offshore wind sector becomes more mature. It is clear that human interventions at 15 offshore environment need to be reduced and the possibility for remote condition monitoring need to be fully 16 exploited. As the number of turbines in offshore wind projects increases, and the wind farms are located further 17 away from shore, there is a need to develop specialised new O&M vessels and transfer systems that will provide 18 access to turbines throughout the year in rough sea conditions. New approaches may involve moving from port-19 based operations to ship-based strategies.

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#### <sup>28</sup> Appendix

29	Table A-1: Climate inputs
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No	Name	Value						Unit
1	Wind speed	FINO 1 da	atabase					m/s
2	Wave height	FINO 1 da	O 1 database					
3	Wave period	FINO 1 da	atabase					sec
4	Sun-rise time	FINO 1 of	oservatory [N	54° 00' 53,5"	]			hh: mm
5	Sun-set time	FINO 1 of	oservatory [E	6° 35' 15,5"]				hh: mm
6	Probability of good visibility	January	February	March	April	May	June	
		93	87	87	88	86	84	%
		July	August	September	October	November	December	
		75	82	87	86	92	90	%
7	Shear component	0.1						N/A

<sup>30</sup> Table A-2: Transportation inputs (CTVs)

No	Name	Value	Unit
1	Number of CTVs	5	vessel
2	Vessel type	Catamaran	N/A
3	Length	18	m
4	Breadth	6	m
5	Draught	1.8	m
6	Displacement	35	ton
7	Installed power	1118	kW
8	Technician capacity	12	person
9	Operational speed	24	knot
10	Fuel consumption	0.24	mt/hour
11	Max. op. wave height	1.5	m
12	Max. op. wind speed	25	m/s
13	Shift start	06:00-07:00-08:00-09:00 (referring to Table 9)	hh: mm
14	Maximum visit per CTV	4	turbine
15	Inter transit time	10	minute
16	Time to start work	30	minute
17	Minimum working limit	2	hour

<sup>1</sup> Table A-3: Transportation inputs (Helicopter)

	*		
No	Name	Value	Unit
1	Contract hour	0-500-750-1000 (referring to Table 9)	hour
2	Operational speed	50	knot
3	Fuel consumption	0.4	mt/hour
4	Max. op. wave height	4	m
5	Max. op. wind speed	18	m/s

<sup>2</sup> Table A-4: Transportation inputs (OAV)

No	Name	Value	Unit
1	Charter length	7-14-21-28 (referring to Table 9)	day
2	Mobilisation time	21	day
3	Operational speed	13.5	knot
4	Fuel consumption	0.2	mt/hour
5	Max. op. wave height	2	m
6	Max. op. wind speed	25	m/s

<sup>3</sup> Table A-5: Transportation inputs (Jack-up vessel)

No	Name	Value	Unit
1	Charter type	Fix on fail-Purchase (referring to Table 9)	N/A
2	Charter length	14-28-42-56 (referring to Table 9)	day
3	Mobilisation time (opt.)	7	day
4	Mobilisation time (exp.)	60	day
5	Mobilisation time (pes.)	120	day
6	Batch repair threshold	1	failure
7	Component capacity	3	component
8	Port re-supply time	24	hour
9	Jack-up time	3	hour
10	Hub removal time	8	hour
1	Operational speed	11	knot
12	Fuel consumption	0.55	mt/hour
13	Max. op. wave height	2.8	m
14	Max. op. wind speed	36.1	m/s
15	Lifting wind speed limit	15.3	m/s

<sup>4</sup> Table A-6: Wind farm/turbine inputs

No	Name	Value	Unit
1	Number of turbines	150	turbine
2	Generation capacity	3.6	MW
3	Distance	20	nmile

No	Name	Value				Unit
4	Hub height	77.5				т
5	Power curve	3.6 MW turbine	power curve			N/A
6	Cut in speed	4				m/s
7	Cut out speed	25				m/s
8	Failure mode	Manual Reset	Minor	Medium	Major	N/A
9	Required repair time	1 hour	4 hours	12 hours	24 hours	hour
10	Required number of technicians	1	3	6	8	person
11	Transportation type	CTV/Helicopter	CTV/Helicopter	OAV	Jack-up vessel	N/A
12	Repair window	Cumulative	Cumulative	Cumulative	Single	hour
13	Failure impact	100%	100%	100%	100%	%
14	Failure distribution	5/turbine/year	2/turbine/year	0.3/turbine/year	0.1/turbine/year	N/A
15	Required preventive maintenance	50				hour
16	Preventive maintenance technicians	3				person
17	Preventive maintenance start month	January-April-Ju	ly-October (refer	ring to Table 9)		N/A
18	O&M technician	(referring to Tab	le 9)			N/A
	allocation order	Corrective maint	enance or prevent	ive maintenance		
		Preventive maint	enance after corre	ective maintenance	e	
		Preventive maint	enance only after	corrective mainte	nance	

# <sup>1</sup> Table A-7: Cost inputs

No	Name	Explanation				Unit
1	Electricity price	150				£/MWh
2	CTV charter cost	2,500				£/CTV/day
3	CTV technician cost	60,000				£/tech/year
4	CTV fixed cost	50,000				£/CTV/year
5	Helicopter charter cost	2,500				£/hour
6	Helicopter technician cost	80,000				£/tech/year
7	OAV charter cost	10,000				£/day
8	OAV mobilisation cost	200,000				£/mob
9	OAV technician cost	100,000				£/tech/year
10	Jack-up vessel charter cost	110,000				£/day
11	Jack-up vessel mobilisation cost	800,000				£/mob
12	Jack-up vessel technician cost	100,000				£/tech/year
13	Jack-up vessel CAPEX	100,000,000				£
14	CTV fuel cost	450				£/mt
15	Helicopter fuel cost	1,200				£/mt
16	OAV fuel cost	300				£/mt
17	Jack-up vessel fuel cost	300				£/mt
18	Preventive maintenance cost	5000				£/turbine/yea
19	Component repair cost	Manual Reset	Minor	Medium	Major	
		0	5000	25,000	200,000	£/repair
20	Port and operations cost	800,000				£/year
21	Insurance cost	8,000,000				£/year