1	405 nm light technology for the inactivation of pathogens and its potential
2	role for environmental disinfection and infection control

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17 Running Title: 405nm light environmental disinfection

26 Summary

Background: Although the germicidal properties of UV light have long been known it is only
comparatively recently that the antimicrobial properties of visible violet-blue 405 nm light have
been discovered and utilised for environmental disinfection and infection control applications.

Aim: To review the antimicrobial properties of 405 nm light and describe its application as an
 environmental decontamination technology with particular reference to disinfection of the
 hospital environment.

33 *Methods:* Extensive literature searches for relevant scientific papers and reports.

34 *Findings:* A large body of scientific evidence is now available that provides underpinning knowledge of the 405 nm light induced photodynamic inactivation process involved in the 35 36 destruction of a wide range of prokaryotic and eukaryotic microbial species including resistant 37 forms such as bacterial and fungal spores. For practical application, an environmental disinfection system (HINS-light EDS) has been developed and tested in hospital isolation rooms. 38 39 The trial results have demonstrated that this 405 nm light system can provide continuous 40 disinfection of air and exposed surfaces in occupied areas of the hospital, thereby substantially 41 enhancing standard cleaning and infection control procedures.

42 Conclusions: Violet-blue light, particularly 405 nm light, has significant antimicrobial properties 43 against a wide range of bacterial and fungal pathogens and, although germicidal efficacy is 44 lower than UV-light, this limitation is offset by its facility for safe continuous use in occupied 45 environments. Promising results on disinfection efficacy have been obtained in hospital trials 46 but the full impact of this technology on reduction of HAI has yet to be determined.

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48 Keywords:

49 Violet-blue 405 nm light; Hospital acquired infection; Infection control; Pathogens;

50 Environment; Decontamination; Disinfection; Photodynamic inactivation; Air disinfection;

51 Surface disinfection.

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55 Introduction

56 Although intensive efforts over recent years are making an impact, healthcare-associated infections (HAI) still regularly occur and continue to pose a major challenge. In addition to the 57 significant morbidity and financial costs, concern over contraction of a HAI is one of the greatest 58 59 fears of patients being admitted to hospital.¹ Infection control procedures such as hand washing 60 are of critical importance in addressing the HAI problem, however greater awareness of the hospital environment as a source of nosocomial pathogens has led to renewed focus on hospital 61 62 cleaning and disinfection. Whilst effective physical cleaning remains essential for infection control and aesthetic reasons, there has been an upsurge of interest in the development of new 63 cleaning and decontamination technologies.^{2,3} A number of these employ novel methods of 64 65 delivering antimicrobial chemicals, whereas others use the antimicrobial properties of light to enhance disinfection,^{4,5,6} and it is this latter approach that forms the topic of this review. 66

67 The most germicidal wavelengths of light fall within the ultraviolet (UV) range and UVC 68 (between 240-260 nm) irradiation has traditionally been used for disinfection, particularly for 69 air and medical device decontamination applications.^{7,8,9} More recently the antimicrobial 70 properties of violet-blue visible light has emerged as an area of increasing research interest. 71 Although less germicidal than UVC light, violet-blue light with wavelengths in the region of 72 405 nm, has proved effective for inactivation of a range of microbial species, and exploitation of 73 these wavelengths may provide alternative methods of antimicrobial treatment for infection 74 control applications. This paper will provide a brief background on the use of light for 75 environmental decontamination applications within hospitals before presenting a detailed 76 description of the broad spectrum antimicrobial effects of violet-blue light and how this 77 knowledge has led to the development and clinical evaluation of a 405 nm light environmental 78 disinfection system. In addition to environmental decontamination applications, other potential uses of violet-blue light for infection control proposes such as skin and wound treatment have 79 80 been highlighted in recent literature but these topics are out with the scope of the current 81 review.10-17

82 Inactivation of microorganisms by light in the hospital environment

Records of observations on the antibacterial effects of light go back to the latter part of the 19th
century and these early historical observations have been documented by Kowalski.¹⁸ The
germicidal effects of light received further attention during the early part of the 20th century and
the appreciation of the decontamination effect of light was translated into early hospital design
features where natural ventilation and exposure to sunlight were regarded as beneficial.¹⁹ The
roles of sunlight and natural ventilation for controlling the transmission of infections within

89 healthcare settings has recently been reviewed by Hobday and Dancer, who provide a detailed 90 record of the early – mid 20th century observations on the effects of natural sunlight on a wide 91 range of nosocomial pathogens.²⁰ Whilst natural light and ventilation were originally 92 considered beneficial, modern hospital design has tended to reduce these features. Recent interest in the application of 'artificial' lighting within hospitals has been with regard to energy 93 94 reduction issues but also how lighting can affect the mood and circadian rhythm of patients.^{21,22} 95 Light from artificial sources with wavelength emission in the UV range, can have significant 96 antimicrobial effects and new technologies for hospital decontamination have been developed around this concept.^{6,23-25} 97

98 The most widespread applications of ultra-violet germicidal irradiation (UVGI) has been for air and water disinfection, as well as for decontamination of devices.²⁶⁻²⁸ More recently, with the 99 increased emphasis that has been directed towards enhanced decontamination of the hospital 100 101 environment, novel technologies have been developed for the rapid delivery of UVC radiation to exposed surfaces in clinical areas. Several of these are automated or manually positioned 102 103 robotic systems using either continuous or pulsed UV emission sources.^{6,25} Detailed information 104 on UVGI and other 'no-touch' automated room disinfection systems is provided in a recent 105 review by Otter et al.⁶

106 Antimicrobial Effects of Violet-Blue Light

Until relatively recently light within the visible spectrum (400–700 nm) was considered to have 107 108 little biocidal effect compared to UVC light due to the lower photon energy of these wavelengths. Wavelengths of violet-blue light, particularly around 405 nm, have however been shown to 109 110 possess antimicrobial capabilities, and there is scope for exploiting these wavelengths for the 111 control of problematic microorganisms in many areas of application including the disinfection of air and exposed surfaces in the clinical environment. The following section provides an 112 overview of the antimicrobial inactivation mechanism, and the antimicrobial efficacy of high-113 114 intensity 405 nm violet-blue light.

115 Violet-Blue Light Inactivation Mechanism

Investigations into the mechanism of action of 405 nm violet-blue light indicate that photodynamic inactivation occurs as a result of the photo-excitation of intracellular porphyrin molecules within the exposed bacterial cells. Laboratory studies have shown that a range of violet-blue light wavelengths in the region 400-425 nm can be used for bacterial inactivation,²⁹⁻ however, optimal antimicrobial activity has been found at 405 nm.^{34,35} This peak in activity correlates with the absorption maximum of porphyrin molecules, termed the soret band, being in this wavelength region.³⁶ Exposure to light of this wavelength induces an oxygen dependent
photo-excitation reaction within exposed microorganisms, where excited porphyrins react with
oxygen or cell components to produce reactive oxygen species (ROS) causing oxidative damage
and microbial cell death.^{29,37-41} Cell death has been accredited to oxidative damage to the cell
membrane, with a recent study demonstrating disruption of the cytoplasmic content and cell
walls of exposed *S. aureus*,¹⁰ and it is likely that, due to the non-selective nature of ROS, multi-

128 target damage will be induced in the microbial cells.

129 Antimicrobial Effects of Violet-Blue Light

130 Extensive laboratory studies have shown that 405 nm light, and the wider violet-blue light wavelengths, have a broad spectrum of activity, with successful inactivation demonstrated for a 131 132 wide range of organisms, including antibiotic-resistant bacterial strains such as methicillin-133 resistant *Staphylococcus aureus* (MRSA).³⁰⁻³² Bacterial species which have demonstrated 134 susceptibility include HAI-associated organisms, including Staphylococcus aureus, Clostridium difficile, Acinetobacter baumanni, Escherichia coli, Staphylococcus epidermidis, Pseudomonas 135 aeruginosa, Klebsiella pneumoniae, Streptococcus pyogenes and Mycobacterium species.^{29-33,42,43} 136 Bacterial sensitivity to violet-blue light inactivation tends to be species dependent, however the 137 138 general trend suggests that Gram positive bacteria tend to be more susceptible to inactivation than Gram negative species.^{32,44} 139

140 Two of the most significant pathogens associated with HAI are MRSA and C. difficile, and vegetative cells of these species both show susceptibility to violet-blue light inactivation. 141 Vegetative cells of *C. difficile* are particularly sensitive to inactivation, and this is likely to be due 142 to this organism being an obligate anaerobe, giving it increased sensitivity to oxidative 143 144 damage.³³ *C. difficile* spores are a significant issue for infection control, particularly due to their prolonged survival in the environment, and their resilience to disinfection technologies is well 145 146 documented.⁴⁵⁻⁴⁷ C. difficile spores can be successfully inactivated by exposure to 405 nm light, however as expected, significantly higher doses (~ 50 times) are required for inactivation 147 148 compared to vegetative cells.³³

Laboratory studies have demonstrated the successful antimicrobial efficacy of violet-blue light for the inactivation of bacterial contamination in liquid,^{10,11,29,32,34} artificially seeded on surfaces,^{30,31,42,48} and most recently, in biofilms.⁴⁴ Within the clinical environment, biofilm formation is a major cross-contamination risk, with the presence of patient fluids such as saliva, blood and urine influencing biofilm adhesion and development on surfaces.⁴⁹ Indeed, a recent study attributed the presence of *Pseudomonas aeruginosa* biofilms on sinks to the acquisition of infections, with a 33% death rate.⁵⁰ 156 Although the germicidal efficacy of blue light is lower than that of ultraviolet light – UV 157 inactivation typically required doses of the order of mili-joules rather than joules as is the case with violet-blue light ^{51,52} – significant bacterial inactivation can still be demonstrated, with up 158 to 9-log₁₀ orders of reduction being achieved in one study.³² A major advantage of violet-blue 159 light inactivation is that the susceptibility of strains isolated from the clinical environment is 160 161 similar to their laboratory type strain counterparts i.e. clinical isolates do not show enhanced 162 resistance and thus can be inactivated by 405 nm light with no inherent problems.³² Also, it has 163 recently been demonstrated that sublethally damaged bacterial cells are more susceptible to light inactivation⁴⁸, therefore, there is great potential for bacterial contamination that has been 164 165 sub-lethally stressed by desiccation and disinfectants during routine cleaning of the hospital 166 environment to be more susceptible to inactivation by exposure to violet-blue light.

In addition to clinically relevant bacteria, the effectiveness of 405 nm light for microbial 167 168 inactivation has also been demonstrated against bacterial species associated with foodborne infection including *Listeria*, *Campylobacter*, *Shigella* and *Salmonella* species;^{32,34,53} pathogens 169 *Helicobacter pylori, Chlamydia* and *Propionibacterium acnes*;^{29,37,43} oral periodontal 170 171 pathogens;^{54,55} and fungal organisms including moulds and yeasts such as *Candida*.⁵⁶ To date the effect of violet-blue light on viruses has not been fully determined, however it is expected 172 that due to the hypothesised involvement of porphyrins in the inactivation mechanism, it is 173 174 unlikely that viruses will be highly susceptible to light exposure alone, and may require the 175 addition of photosensitising material to enhance viricidal activity.⁵⁷

176 Use of 405 nm Violet-Blue Light for Hospital Disinfection

177 The wide antimicrobial spectrum of activity combined with the ability to apply light intensities 178 safe for human exposure make violet-blue light ideal for decontamination of occupied 179 environments, and the development of a system which utilises high-intensity narrow spectrum (HINS) 405 nm light for environmental disinfection of the clinical environment has been 180 recently described.⁵⁸⁻⁶⁰ This new disinfection technology, termed the HINS-light Environmental 181 Decontamination System (EDS) is a ceiling-mounted lighting system designed for the reduction 182 of environmental contamination in hospital wards and other areas of the healthcare 183 184 environment. The antimicrobial light from the system is generated from a matrix of light-185 emitting diodes (LEDs) which emit low irradiance violet-blue light with a narrow spectral profile centred on 405 nm.⁵⁸ The output of the antimicrobial light has been set to ensure, with 186 reference to international guidelines,^{61,62} that the light source does not pose a blue light hazard 187 and is safe for use in occupied environments. Whilst biocidal, the 405 nm wavelengths is well 188 below the blue light wavelengths which can impact on human health, particularly in the region 189

190 of 440 nm which is associated with photoretinitis, and 480 nm which is influences mood and 191 circadian rhythm in humans, as shown in Figure 1. Whilst satisfying safety standards as an 192 installed light source it is interesting to also note that, when comparing the susceptibility of 193 mammalian cells and bacteria to 405 nm light, mammalian keratinocytes and osteoblasts were considerably more resistant and could be exposed to bactericidal levels of 405 nm light with no 194 loss of cell viability.^{10,11,63} The increased resistance of mammalian cells is likely due to the fact 195 196 that these cells have much more advanced mechanisms for coping with oxidative damage 197 compared to the more primitive microbial cells.

For practical application as an overhead light source, incorporation of white LEDs into the HINS-198 199 light EDS system ensures the illumination output is predominantly white, thus blending with the standard room lighting.⁵⁸ The system is designed to be operated continuously, providing 200 201 on-going disinfection of the air and all exposed environmental surfaces within the treated area, 202 with no disruption to day-to-day hospital procedures or patient care. Laboratory testing of the 203 system confirms the efficacy for inactivation of a range of bacterial pathogens associated with 204 HAI.⁶⁴ As mentioned, the low irradiance levels employed by the system were deliberately 205 selected to enable continuous disinfection in occupied environments, and therefore require 206 sufficient time to exert the antimicrobial effect. Significant inactivation of microbial contamination on simulated laboratory surfaces can be achieved by approximately 1-2 h light 207 208 exposure,⁶⁴ however, inactivation kinetics are likely to be significantly enhanced in the 'real' 209 clinical environment due to the stressed and dessicated state of the microorganisms.⁴⁸

210 Clinical Assessment of 405nm Light for Environmental Disinfection

A number of published studies have presented results from clinical assessment of this 405 nm
light system for continuous environmental decontamination of single-bed isolation rooms.⁵⁸⁻⁶⁰
Evaluation of the technology has been carried out in isolation rooms within two main clinical
areas: a Burns Unit and an Intensive Care Unit (ICU).

215 For evaluation, systems were installed within isolation rooms, and used as a complementary 216 disinfection procedure, being operated continuously during daylight hours in occupied rooms, 217 under conditions where normal clinical care and infection control measures were implemented. 218 The effect of the system was assessed through contact-plate sampling of bacterial levels on a range of frequently touched contact surfaces (e.g. locker top, bed table, bed rails, bin lids, light 219 220 switches & door handles) which are commonly associated with being 'high-risk' surfaces for 221 cross-transmission of HAIs, as well as surfaces likely to have high contamination levels due to 222 aerial deposition, such as ledges. Samples were typically collected (i) before use, (ii) during use, and (iii) a period after the HINS-light EDS units had been switched off, with the same contact 223

224 surfaces sampled throughout each study. Bacterial levels were assessed using 55 mm contact 225 agar plates, with a surface area of 23.76 cm^2 , which were inoculated by pressing the agar 226 surface onto the environmental surface. Studies monitored the levels of staphylococcal bacteria (a good indicator of contamination of human origin),⁵⁸⁻⁶⁰ and the total viable bacteria levels⁶⁰ in 227 order to establish the effect of the system for reducing levels of bacterial contamination around 228 229 the isolation room. For collection of staphylococcal organisms, Baird Parker with egg yolk 230 telurite agar (BPA), a selective medium for the growth of staphylococcal-type organisms, 231 contact plates were used. Tryptone soya agar contact plates (TSA), which use non-selective growth medium, were used to obtain total viable bacterial counts (TVC). Microbiological 232 233 assessment, as colony forming unit (CFU) counts, was based upon growth on the contact agar plates after incubation at 37°C for 24 hours (TSA plates) or 48-hours (BPA plates). 234

A number of studies also characterised the staphylococcal isolates by subculturing selected
isolates and then testing using Staphaurex Plus (Remel Europe Ltd, Dartford, UK) and PBP2
Latex Agglutination Test (Oxoid Ltd), to identify *S. aureus* and methicillin *S. aureus* isolates,
respectively.

239 Inpatient Studies

240 An initial study evaluated use of the system for disinfection of an unoccupied isolation room, and results demonstrated a significant 90% reduction (P=0.000) in the staphylococcal 241 242 contamination on surfaces around the room after 24-hour use.⁵⁸ Studies in burns isolation 243 rooms occupied by MRSA positive patients, with treatment periods ranging from 2-7 days, demonstrated that staphylococcal contamination on surfaces around the rooms were 244 significantly reduced by 56 to 86%, over and above the reductions achieved by cleaning alone. 245 246 Levels of presumptive *S. aureus* and MRSA showed similar reductions.⁵⁸ Significantly, once use 247 of the system ceased, recontamination of the room was observed, to levels similar to pre-248 treatment contamination levels.

An example of the data from one published study is shown in Figure 2, which demonstrates the 249 250 mean reductions in the total staphylococcal counts and the presumptive *S. aureus* levels in an 251 occupied burns unit isolation room, before, during and after 5-day use of HINS-light EDS. 252 Samples (n=70) were collected twice during each of the three phases, and the results from all sampled surfaces have been pooled to demonstrate the overall decontamination effect the 253 system had across the room. In this study, data demonstrated that a significant 62% decrease in 254 255 total staphylococcal counts, and 50% decrease in presumptive *S. aureus* was achieved (P<0.05) after 5-days use of the system. 'After use' samples, collected during a 6-day period after the 256 system had been turned off, showed that contamination around the room had significantly 257

risen, with a 126% and 98% increase in the total staphylococci and presumptive *S. aureus* counts, respectively (P<0.05), thus reinforcing the recontamination effect that occurs after removal of the light-treatment.⁵⁸ Extended use of the system also proved to further reduce the bacterial contamination around the room, supporting the continuous use of this system for maintaining low contamination levels around isolation rooms.⁵⁸ Importantly, studies were performed to show that the decontamination effect was not patient or room dependent.⁵⁹

264 Studies carried out in an ICU isolation room also demonstrated system efficacy, with 60 to 70%reductions in both the staphylococcal and the total bacterial contamination across the entire 265 sampled room environment.⁶⁰ In addition to demonstrating an overall reduction in 266 contamination around the room, results demonstrated that exposed surfaces had reduced 267 contamination levels as a result of use of the system, and an example of this is shown in Figure 268 3. Levels of bacteria on various surfaces around an occupied ICU isolation room were 269 270 determined before use of the HINS-light EDS, and resampled after a 5-day exposure period. 271 Results demonstrated that despite marked variation in the initial bacterial bioburden there was 272 a marked decrease in levels of bacterial contamination at all tested sites.

In addition to these findings, a significant factor noted in the studies carried out in the ICU isolation room was that despite asymmetrical positioning of the EDS units within the room, results demonstrated that the special distribution of bacterial contamination was reduced almost uniformly across all the sampled contact surfaces. This suggested that disinfection of airborne bacteria contributes to the reductions in bacterial contamination levels, and the installation positions of the systems may not be critical.⁶⁰

279 **Outpatient studies**

280 In addition to its use for disinfection of occupied inpatient isolation rooms, the HINS-light EDS has also proved effective when used in an outpatient clinic.⁵⁹ Communal use of outpatient clinic 281 282 rooms provides a recognised risk of cross-contamination between subsequently treated 283 patients, therefore it is important to maintain cleanliness in these areas throughout the day. 284 Studies carried were carried out to evaluate the environmental bacterial levels at the start and 285 end of 8-hour clinic sessions, with and without use of the EDS. Results demonstrated that a 286 statistically significant 61% efficacy was achieved (P=0.02), and these successful results lead to the suggestion that use of this system would be beneficial in other similar communal patient 287 rooms such as the bathroom or physiotherapy room, where decontamination of all surfaces is 288 289 unachievable between each patient due to time limitations.59

290 Overall, results have been successful, showing evidence that use of 405 nm light achieves 291 significant reductions in bacterial contamination levels around isolation room environments.⁵⁸⁻ 292 ⁶⁰ Results also demonstrated that when switched off, the decontamination effect ceases and 293 bacterial contamination levels return to around pre-treatment levels, further confirming the 294 effectiveness of the 405 nm light. It is important to note that these results were achieved under 295 a range of clinical conditions within a busy city hospital environment, and that the bacterial 296 disinfection results obtained were over and above those achieved by the hospital's normal 297 stringent infection control procedures which remained fully in place throughout the study.⁵⁸⁻⁶⁰ Further studies are still required to establish the effectiveness of 405 nm light for disinfection of 298 299 larger communal environments.

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301 **Comparison of 405 nm Light with Other Environmental Decontamination Systems**

302 The increased awareness of the importance of the hospital environment as a source of 303 nosocomial pathogens has focused attention not only on improving the efficiency of conventional cleaning and disinfection procedures, but has led to the development of a range of 304 305 novel technologies for enhanced decontamination of whole room environments, including new 306 UV systems (as discussed earlier), steam cleaning, hydrogen peroxide vapour and super-307 oxidised water fogging.^{7,65-67} Although these systems are effective for widespread disinfection of the room environment, they require, for safety reasons, experienced operator supervision and 308 309 their use is restricted to unoccupied, sealed rooms, thereby resulting in rooms being out-ofcommission for periods of time – a consequence which can be costly and undesirable in busy 310 ward areas. Additionally, whilst these systems provide effective decontamination, studies have 311 312 found that once treatment has finished, there is rapid and widespread recontamination of the 313 room.⁶⁸ In addition to human safety considerations, another problem associated with UV-light 314 and chemically-based technologies is the potential for long-term material degradation of furniture and equipment within the treated room if these are repeatedly exposed.^{69,70} Therefore 315 316 these methods are best-suited for terminal- and deep-cleaning procedures, but are ineffective for maintaining low levels of contamination. 317

Whilst UV irradiation and 405 nm light technology share some similar features they are, in many respects quite distinct technologies both in their modes of action and methods of application (Table I and Fig. 1). Whilst UV light is strongly germicidal it is dangerous to humans and the different UV waveband regions corresponding to UVC, UVB and UVA can cause a wide range of detrimental effects on the human eye and skin.⁷⁰ Violet-blue within the visible spectrum can also cause harmful effects at high irradiance levels but these are particularly at 440 nm which can cause photoretinitis,^{61,62} and 480 nm which is the peak sensitivity of mammalian photosensitive retinal ganglion cells (pRGCs) which modulate diverse physiological responses to light, including circadian physiology and pupil constriction.⁷⁶ A comparison of the biological effects of radiation extending from the UV and into the visible light regions is presented in Figure 1. Whilst 405 nm light is germicidal it falls within a relatively benign wavelength region and if operated at appropriate irradiance levels it is safe for human exposure.^{61,62}

The above features explain why the 405 nm light environmental disinfection technology, in 331 comparison with other whole-room decontamination systems including UV technology, can be 332 operated continuously in the presence of patients and staff, thus facilitating a background 333 decontamination effect which maintains low levels of contamination.⁵⁸⁻⁶⁰ Continuous operation 334 of the 405 nm light system ensures that there is a level of disinfection concurrently being 335 336 applied even during periods of high activity, such as visiting hours, and bed and bandage changing.^{77,78} Whilst disinfectant cleaning and hand hygiene are critical for maintaining a clean 337 338 environment and minimising the spread of potential pathogens, compliance with hand-washing 339 tends to be low after direct contact with a patient, and significantly, healthcare workers are 340 even less likely to wash their hands after being in contact with the environmental surfaces around the patients, even though these surfaces can be reservoirs of potential pathogens.⁷⁹ Use 341 of the 405 nm light technology can strategically augment this by enhancing the low levels of 342 contamination achieved with intermittent cleaning, and also provide decontamination of 343 344 surfaces within rooms, such as walls and high ledges, as well as delicate equipment, which may not be routinely cleaned using disinfectants. Moreover the system can be automatically 345 operated with no user training required, and consequently problems with staff and patient 346 compliance do not apply.58-60 347

As with all methods of cleaning and disinfection there are inherent disadvantages with any 348 procedure. A limitation of the 405 nm light technology is that, to ensure that patient friendly 349 350 room illumination conditions are used, relatively low irradiance levels are applied and this impacts on microbial inactivation rates which are inevitably lower than can be achieved with 351 other decontamination technologies albeit only in short term comparisons. The high doses of 352 405 nm light required for inactivation of endospores means it is unlikely that 405 nm light alone 353 354 could be realistically applicable for the specific environmental decontamination of *C. difficile* spores, however enhancement of the inactivation may be achieved when combined with other 355 decontamination methods such as oxidative biocides, due to the similar oxidative damage that is 356 357 exerted on the bacteria by both treatments.³³ In addition to the resilience of spores, the 358 antiviral efficacy of violet-blue light has not been fully established, therefore further research in this area is required. Also, similar to UVC technology, 405 nm light effectively treats hospital air, but only surfaces that are directly or reflectively exposed to the light are treated, and the effects on occluded or darkly shadowed areas are limited. It is also the case that whilst all of the new technologies including 405 nm light can claim to have demonstrated enhanced disinfection of the hospital environment translation of this potential benefit into a significant reduction in infection rates will be required to ensure the widespread uptake of these new disinfection technologies.

Further commentary regarding the application of 405 nm light for hospital disinfection

Regarding the deployment of the HINS-light system within hospitals, although important issues 368 369 such as disinfection efficacy and patient safety have been addressed, other questions relating to 370 the use of such a novel light source in clinical settings must also be considered. Undoubtedly enrichment of room lighting with additional violet-blue light will alter the normal lighting effect. 371 372 This could have some impact on patient and staff comfort levels, and possible effects on medical 373 procedures that involve colour perception must also be considered. In the hospital trials already conducted with the HINS-light EDS no such issues have been problematic (unpublished 374 375 observations) but monitoring for such effects must remain during uptake of this technology. 376 Further hospital-based studies, funded by the Scottish Infection Research Network and the Chief Scientist Office, are currently being initiated to investigate the acceptability of the technology, 377 and to ensure the technology is optimised with staff and patient comfort fully taken into 378 account. There may conceivably also be implications for colours employed in hospital 379 furnishings and fabrics as these may serve to amplify or supress the reflection or absorption of 380 381 violet-blue light.

As already discussed, a benefit of 405 nm light over UV-light for disinfection purposes is that, 382 unlike UV-light, 405 nm light, because of its lower photon energy, does not cause photo-383 384 degradation of photosensitive materials such as rubbers and plastics used in the hospital environment and equipment.⁶⁹ However strong visible light can cause photochemical changes 385 in light-sensitive solutions, and this aspect requires consideration if such solutions were to be 386 exposed for long periods. At the relatively low 405 nm light intensities used⁵⁸⁻⁶⁰, and 387 considering the fact that light intensity reduces upon transmission through materials e.g. plastic 388 389 tubing or IV bag material, then this issue is not anticipated to be problematic but nevertheless 390 must remain a consideration if highly light-sensitive pharmaceuticals were introduced.

The HINS-light system utilises LED-based technology and as such it benefits from the wellestablished characteristics of LED lighting, namely reduced energy requirements, long 393 operational (lifetime) use, and low maintenance characteristics. In the hospital trials already 394 conducted, the HINS-light EDS unit is designed to be easily retrofitted into the ceiling in place of 395 a ceiling tile. Installed units have remained maintenance-free and fully-operational over the 396 trial period which now extends to several years. From a lighting technology perspective, it is 397 interesting that the introduction of this LED-based disinfection system is concurrent with major 398 potential changes taking place in general lighting technology. Considerable debate is underway 399 regarding the advantages and disadvantages of replacing conventional fluorescent lighting with 400 LED sources, a discussion that is mainly being driven by potential energy efficiency gains associated with LED lighting. Another potential advantage of LED technology is the capacity to 401 402 blend different colours to 'fine tune' the colour spectrum to suit different environments and 403 applications. In this context it is interesting that it is now appreciated, and as previously 404 discussed in this review, that the nature of the light spectrum can affect circadian rhythmicity, 405 sleep and mood and that this is associated with photosensitive retinal ganglion cells in the eye.⁷⁶ 406 Such effects are not only important in the home and workplace but also for patients in the 407 hospital environment, where it has been suggested that more research is required to better 408 understand how lighting in the hospital environment can influence sleep, mood and pain in medical inpatients.²² Future development of the HINS-light EDS system will undoubtedly be 409 influenced by the various considerations outlined above. 410

411 **Conclusions**

412 Although the germicidal effects of sunlight and UV-light have been known for well over a 413 century it is only comparatively recently that the antimicrobial properties of visible light in the 414 violet-blue region of the spectrum have been recognised and studied in a number of laboratories. Given the severity of current and anticipated future microbiological problems 415 416 faced by society, the development of any new antimicrobial weapon is to be welcomed. Violetblue light, with particular efficacy at 405 nm, has been shown to possess broad spectrum 417 photodynamic antimicrobial activity, and as such its use has been suggested for a range of 418 419 potential clinical and medical applications.

420 One such application is the use of 405 nm light for environmental disinfection. The increased 421 safety of 405 nm light wavelengths compared to UV-light, has facilitated development of this 422 light technology for safe continuous disinfection of occupied environments, and results have 423 shown the successful application of this system for environmental disinfection of hospital 424 isolation rooms. This technology termed, the HINS-light EDS, has demonstrated a significant 425 capability for reducing environmental bacterial contamination in clinical patient areas, over and 426 above reductions achieved using the conventional cleaning and infection control strategies 427 alone. In common with the aspirations of other novel whole room disinfection systems, it is
428 intended that this intervention technology, when used in conjunction with conventional
429 infection control procedures, can help reduce levels of pathogens in the environment, thereby
430 limiting the likelihood of pathogen transmission from the environment to patients, and thus
431 contribute to reducing levels of HAIs.

432 Whilst violet-blue 405 nm light irradiation represents a new antimicrobial approach, the physical nature of this light source and the limitations of its antimicrobial effects must be 433 understood. Inevitably microbial inactivation rates using 405 nm light are slower than can be 434 achieved with the typical application of many other physical and chemical disinfection and 435 sterilisation treatments. This limitation is however mitigated by its operational facility for 436 437 continuous application to disinfect air and all illuminated surfaces in occupied environments and by the biochemical mechanism of 405 nm light inactivation. The photodynamic inactivation 438 439 process induced by 405 nm light exposure involves a multi-targeted intracellular killing effect 440 resulting from the generation of reactive oxygen species, a killing mechanism that is not 441 conducive to microbial resistance development. Given these unique features, it is evident that 442 405 nm violet-blue light technology represents a novel antimicrobial approach that hopefully 443 can make some contribution to tackling the challenge posed by ubiquitous environmental contamination, and to the ongoing health and resource problems associated with healthcare-444 associated infections (HAI). 445

446

447 **Conflict of Interest Statement**

The intellectual property rights of the HINS-light EDS belong to the University of Strathclyde.
The University has made all systems for research purposes only and no commercial company
manufactures this technology.

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663	Table I. Comparison of the properties of ultraviolet C (UVC) and 405 nm light for environmental	
664	disinfection applications.	

	UVC LIGHT	405nm LIGHT
Typical/Potential use	Terminal clean of air and light exposed surfaces	Continuous disinfection of air and light exposed surfaces
Safety	Significant safety hazards associated with human exposure; can cause DNA mutations, erythema ⁷⁰	Can be utilised safely in the presence of people at recommended irradiation levels ⁵⁸⁻⁶⁰
Mechanism of Action	DNA damage kills cells. Sub-lethally damaged cells can recover using photoreactivation mechanism to repair DNA ^{71,72}	Photoexcitation of intracellular molecules induces oxidation of microbial cells. No known repair mechanism ^{73,74}
Antimicrobial Activity	Broad spectrum action against a range of microorganisms including spores and viruses ^{51,52}	Effective against bacteria, fungi, yeasts and spores; antiviral activity not yet fully established ^{32,33,56}
Antimicrobial Efficacy	Rapid inactivation rate within treatment zone ^{6,24}	Comparably slower inactivation rate within treatment zone ⁵⁸⁻⁶⁰
Materials Compatibility	UV light associated polymer damage ⁶⁹	Lower energy 405 nm wavelengths more materials compatible ⁶⁹
Ease of Use for Environmental Disinfection	Rooms/wards need to be vacated during use; operator training required ^{6,24}	Can be safely used during room occupation; no operator safety training required ⁵⁸⁻⁶⁰
Microbial Mutagenic Potential	Powerful mutagen that may encourage resistance development	Multi-target oxidative action mitigates against resistance development ⁷⁵
Penetrability	Does not penetrate through plastics and glass and weakly penetrates into water and fabrics	Can penetrate through plastics and glass and penetrates into water and fabrics ⁴⁴

669 Figure Legends

Figure 1. UV, visible light and infrared regions of the electromagnetic spectrum. Highlighted are
key UV and violet/blue wavelengths with detail of their germicidal action and safety aspects.

Figure 2. Mean reductions in the total staphylococcal counts and the presumptive S. aureus levels across an occupied burns unit isolation room, before, during and after 5-day use of HINS-light EDS. Contact plate samples (n=70) were collected twice during each phase and the results pooled to assess the overall decontamination effect. A significant 62% decrease in total staphylococcal counts, and 50% decrease in presumptive S. aureus was achieved (P<0.05). 'After use' samples, showed that contamination around the room had significantly risen over the 6-days after the system was switched off: 126% and 98% increase in the total staphylococci and presumptive S. aureus counts, respectively (P<0.05). (Data adapted from ⁵³).

Figure 3. Reductions in the mean levels of environmental bacteria on a range of surfaces in an ICU
isolation room before and after 5-day use of the HINS-light EDS. Tryptone soya agar contact plate
samples were collected from each surface and results pooled to show the mean reduction in
contamination on the sampled surface (data adapted from ⁵⁵).

	Ultraviolet (UV)	Visible Light				Infrared (IR)
0.9	300nm + UVA- + UV-C 260-270 nm:	400nm	500nm 4 480 nm: ab nm: peak sensiti germicidal activi icidal activity via elength range; D	600nm 0 00-700 nm : photodyna sorption by retinal pig vity for blue light haza ity via photoexcitation protein damage; skin i NA damage; mutageni	700nm amic therapy usin ments affecting c rd; photoretinitis of porphyrins; ox reddening (erytho c	g photosensitisers ircadian rhythm idative damage ema); carcinogenic
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