Categorisation of designs according to preference values for shape rules

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Shape grammars have been used to explore design spaces through design generation according to sets of shape rules with a recursive process. Although design space exploration is a persistent issue in computational design research, there have been few studies regarding the provision of more preferable and refined outcomes to designers. This paper presents an approach for the categorisation of design outcomes from shape grammar systems to support individual preferences via two customised viewpoints: (i) absolute preference values of shape rules and (ii) relative preference values of shape rules with shape rule classification levels with illustrative examples.

Introduction

One valuable technique to conceive designs is to generate design alternatives. Computational advancements and the evolution of modern design processes have opened new lines of research based on generative systems. The purpose of generative systems is not always to reach a unique optimal solution but instead to display a range of design alternatives. There are many different variants of generative design systems. They typically generate satisfactory designs starting from little or nothing, being guided by performance criteria within a given design space [1]. One way of obtaining sets of satisfactory designs is to define preference values for generative rules. In other words, instead of randomly generating lots of designs and then looking for meaningful solutions, it is sometimes more reasonable to define rules that generate only sequences of designs that are accord with designer preferences.

Shape grammars [2] are production systems that generate designs according to sets of shape rules. These rules are of the form $a \rightarrow b$, where a

and b are both labelled shapes, and are applicable to a shape S if there is a transformation that imbeds a in S. A shape rule is applied by replacing the transformed shape a in S with the similarly transformed shape b. These allow the construction of complex shapes from simple shape elements. The potential for applying shape grammars to explore design spaces has been applied in areas such as architectural and consumer product design [3]. Despite a history going back decades [4], progress in computer implementation of shape grammar systems has been slow [5]. This is partly due to complexities in object representation used in such systems [6] but is also possibly a consequence of the characteristic of producing large, possibly an infinite number of outcomes [7]. As a result relatively few researchers have attempted to categorise outcomes from shape grammar systems. While diversity and number of outcomes may be appreciated by designers, they may wish to limit this number in order to reduce their efforts to find preferable (or appropriate) ones.

The research described here results from an ongoing project concerning design synthesis and shape generation (DSSG). The project explores how designers generate shapes and how shape computation systems might support designers without impinging upon their creativity. The aim of this paper is to present an approach to categorising design outcomes from shape grammar systems to support individual preference. It offers the possibility of providing more preferable and refined outcomes to designers based on their own ways of shape generation. Here, the categorisation of design outcomes is not intended to reflect a measure of similarity or style but instead is intended to reflect the likelihood that designs would be produced by a designer. This likelihood is based on experimental data concerned with analysing how designers specify and manipulate shapes when exploring designs [8]. This analysis led to the definition of shape rules believed to capture the manipulations typically used by designers, and to data related to the frequency that such rules were used to explore designs.

Clustering via customisable viewpoints

Shape rules can formalise the creative process that involves the generation of designs, the selection of the preferable, and the seeding of a new generation, until a competent design is found or the entire design space has been explored [9]. This process, however, may not be ideal for design exploration since design spaces tend to be immense and the probability of obtaining a satisfactory design in a reasonable length of time is very small.

One possible way to customise design outcomes is by categorisation according to the similarity of shape characteristics. Clusters of designs may be organised into a hierarchical structure where they are broken down into subclusters [10]. In this case, a hierarchical classifier is needed to divide the classes into contextual subgroups, which are then further divided to produce a tree structure defining relationships between classes [11]. A number of methods are extant for hierarchical clustering depending on the area of application, e.g. in biological taxonomy, psychology and cognitive science [12], physics [13], and artificial intelligence [14].

Some investigations have been conducted into multiple viewpoints for clustering. Researchers have found that different results can be obtained when the same data set is analysed using a different clustering strategy during computational clustering [15, 16]. For example, Howard-Jones [17] carried out an experiment in which subjects looked at a geometrical shape, generating as many interpretations of the shape as possible based on different viewpoints. Duffy and Kerr [18] suggest that designers require different viewpoints from past designs and abstractions in order to facilitate the effective utilisation of past design knowledge, and pointed out the need to support different viewpoints, termed 'Customised Viewpoints' (CV). Manfaat and Duffy [19] extended this theory to support the effective utilisation of spatial layouts for ship design by hierarchical levels of abstractions according to designers' needs. To maximise the capability of CV, the selection of criteria for clustering that are appropriate to the data being investigated is crucial [20].

Due to the characteristics of Shape Grammar systems, which potentially produce large numbers of outcomes [7], categorising design outcomes could facilitate more widespread use of this design paradigm. As the main aim of CV is to classify designs via different viewpoints, adapting the concept of CV could provide a way of categorising and refining outcomes by individual viewpoints and preferences.

Preference values and classification of shape rules

Understanding designers' preferences when interacting with shapes is needed to utilise CV with shape grammars. As a part of the DSSG project, a sketch observation experiment [8] to identify shape rules in shape transformation was undertaken. Six architects and eight product designers with various ranges of professional experience were involved in the experiment. They responded to a series of conceptual design tasks and produced an output of nearly 300 sketches. Entire sketching activities and sketch strokes were recorded to analyse shape transformation using three criteria—Decomposition, Reinterpretation and Design family—which were applied to three tasks consisting of short design briefs and initial design stimuli.

Shape rules from the experiment

As a result of our preliminary experiment, 7 general shape rules (Table 1) and 14 detailed shape rules (Table 2) were identified. These can be regarded as the personal rules of the participants. The hierarchical classification was suggested due to the similarities among shape rules. Note that the *outline transformation* rule in Table 1 denotes 'changing outline shape including stretching and contour manipulation' while the *structure transformation* rule indicates 'changing shape position including rotation, translation and symmetry'.

Table 1 General shape rules identified

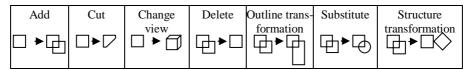
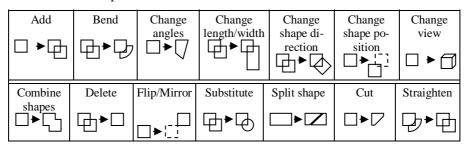


Table 2 Detail shape rules identified



Shape rules in a higher (general) level could contain a number of detailed rules in their lower levels (Figure 1). From our experiment, the *outline transformation* rule comprises a number of similar shape rules i.e., *bend, straighten, change length/width* and *change angles*, while the *structure transformation* rule includes *flip/mirror*, *change shape direction, split shape*, and *change shape position* rules. The *bend* rule in the detailed shape rules denotes 'giving curvature to a shape', while the *straighten* rule indicates the opposite meaning; the *change angles* rule indicates 'changing an interior angle of a shape'; and the *combine shapes* rule means 'adding and merging a new shape to an existing shape', while the *add* rule adds a

new shape without merging them. Indeed, classification of these shape rules can be further refined; for example, the bend rule can produce different types of curvature to a shape captured in shape rules (e.g. soft radius, sharp radius, a curve with rising curvature and so on).

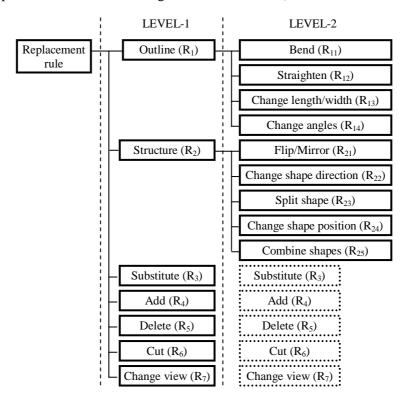


Fig1. Hierarchical classification of the identified shape rules

In addition to providing an objective means of analysis, these rules provide a means for formally generating design alternatives. Note that the graphical representations of these rules express shape transformations in an abstract way and are not meant to represent the exact transformation of a shape, meaning that the same rule may be applied to different shapes and transform them in different ways. For example, the first (R_{12}) and third (R_{12}) rules for the design outcome S01 in the Appendix are the same abstract rule-straighten-but are applied under different shape transformations. In addition, this list of rules is not by any means complete; they were, however, sufficient to capture participants' shape transformations. The identified shape rules are then hierarchically classified, and the rules R_3 to R_7 are directly applied to level-2 because they do not have child rules (Figure 1).

Preference values of shape rules

Some rules were used by participants significantly more than others, e.g. change shape length/width (R_{12}), change view (R_7), add (R_4), and straighten (R_{12}) were used 2 to 10 times more than others (Table 3). This result suggests that it may be possible to (i) identify priorities of shape rules, (ii) calculate the preference values for each shape rule based on the frequency of rule use and (iii) use the preference values as speculative tools to provide customisable categorisations of design outcomes. The preference value was calculated by normalisation between 0.0 and 1.0 based on the sum of total use for each rule from the experiment's results (see the last column in Table 3), and the value can be incrementally updated whenever new results from experimentation are added. For example, use of the *substitute* rule was hardly observed in our experiment; thus the preference value is considered as 0.0. It can be, however, changed depending on the result of additional experiments.

Table 3 The use of the shape rules in architectural design. The numbers in each task indicate the frequency of rule use and the number of participants who used the rule (in parentheses).

Rank	Shape Rules	Task 1	Task 2	Task 3	Total use of the rule	Preference value (normalised)
1	Change length/width (R ₁₃)	35 (6)	9 (4)	11 (3)	55	0.239130
2	Change view (R ₇)	0 (0)	22 (6)	21 (5)	43	0.186957
3	Add (R ₄)	18 (4)	8 (3)	7 (3)	33	0.143478
4	Straighten (R ₁₂)	22 (6)	0 (0)	2 (2)	24	0.104348
5	Change shape position (R ₂₄)	13 (5)	0 (0)	1(1)	14	0.060870
6	Bend (R ₁₁)	9 (2)	0 (0)	2 (2)	11	0.047826
7	Delete (R ₅)	10(2)	1(1)	0 (0)	11	0.047826
8	Change shape direction (R ₂₂)	10 (5)	0 (0)	0 (0)	10	0.043478
9	Combine shapes (R ₂₅)	5 (3)	4 (3)	0 (0)	9	0.039130
10	Split shape (R ₂₃)	0 (0)	0 (0)	8 (2)	8	0.034783
11	Change angles (R ₁₄)	5 (2)	1(1)	0 (0)	6	0.026087
12	Flip/Mirror (R ₂₁)	3 (2)	2(1)	0 (0)	5	0.021739
13	Cut (R ₆)	0 (0)	0 (0)	1(1)	1	0.004348
14	Substitute (R ₃)	0 (0)	0 (0)	0 (0)	0	0.0

Formalisation of customised viewpoints

Design outcomes can be categorised differently depending on customised viewpoints. For example, shapes S_I and S_2 , which are generated by a number of shape rules with a sequential manner, e.g. $\{S_I|R_a,R_b,R_a,R_d\}$ and $\{S_2|R_a,R_c,R_e\}$ (Figure 2), can be in the same cluster if the shape rule R_a is a most important criterion, while they could be classified in a different cluster in other cases.

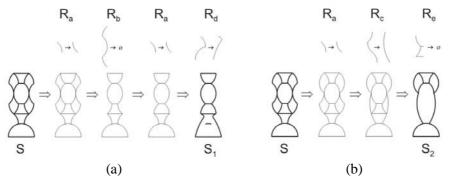


Fig2. Example shapes S_1 and S_2 with respective rule sequences

Here an experimental approach to categorising outcomes is presented which calculates and sorts a preference value for each outcome. The preference value P for each outcome is normalised between 0.0 and 1.0, and can be obtained via two customised viewpoints: (i) absolute P by the frequency of shape rule use; (ii) relative P by shape rule classification level. To calculate the above P for each outcome, a number of criteria need to be predefined: (i) a hierarchical (multi-level) classification of shape rules (Figure 1); (ii) a weight value Q_m for each step of a shape rule sequence; (iii) a preference value V_{R_n} for each shape rule R_n (see the last column in Table 3). For now, each Q_m is equally distributed depending on the maximum number of sequence steps from a design outcome, i.e. $Q_m = \frac{1}{L}$ where

L is the maximum number of sequence steps. The distribution of Q_m , however, could be adjusted in future research, e.g. the first and last step of a sequence could have more weight if we consider those to be more effective for outcomes than others. The details of the formalisation of P by each viewpoint are described in the following sections.

Customised viewpoints by absolute preference values

According to our experimental data [8], designers respond positively to specific rules which can affect the types of design outcomes. In this paper, design outcomes are categorised based on the preference value of shape rules because a preference value offers one way of representing a personal design intention. An absolute P_1 for the above shape S_1 , which is generated by a four step rule sequence, i.e. $\{S_1|R_a,R_b,R_a,R_d\}$, is calculated by all the rule values with respective sequential weights. This value can be used to determine the order of outcomes without any classifications, so designers could limit the number of preferable outcomes from the entire set of possible outcomes. Considering the sequence of rule application for the shape S_1 , the absolute P_1 is calculated as

$$P_1 = V_{R_1} Q_1 + V_{R_2} Q_2 + V_{R_2} Q_3 + V_{R_3} Q_4 \tag{1}$$

Because Q_m is equally distributed here, the absolute P_I is the same as the sum of V_{R_m} divided by the sequence length, and can be summarised as

$$P_{1} = (V_{R_{a}} + V_{R_{b}} + V_{R_{a}} + V_{R_{d}})Q_{m}$$
(2)

Thus, a general shape S_I can be calculated as below when V_i is the sum of the preference values of used shape rules for shape S_I :

$$P_i = V_i Q_m \tag{3}$$

Customised viewpoints by relative preference values with a rule classification level

Sometimes designers may wish to limit outcomes by the generality of shapes [18, 19]. In this case, setting the outcome criteria by classification levels of shape rules would be useful because a higher classification level of shape rules allows a broad range of shape types while a lower level allows more specific shape types. When a shape rule classification has a depth of k, there are k different relative P values, based on the depth in the hierarchy. In this viewpoint, a relative P_I for the above shape S_I is calculated by the different levels of shape rule classification rather than V_{R_n} . Consider $V_{R_n(k)}$ is the P of the kth level of a shape rule classification that contains R_n , and is the sum of V_{R_n} in the (k+1)th level (Figure 3). Then the relative P_{I_k} for the above shape S_I by the kth level of shape rule classification is calculated using Equation (2) as

$$P_{1_{k}} = (V_{R_{k}(k)} + V_{R_{k}(k)} + V_{R_{d}(k)} + V_{R_{d}(k)})Q_{m}$$
(4)

Note that the preference value of each shape rule is the sum of its child rules, e.g. the preference value of R_{11} in the level-2 in Figure 3 is the sum of the preference values of $\{R_{III}, R_{II2}, R_{II3}\}$, which are the child rules of R_{II} . If R_n is located in a lowest level, V_{R_n} is applied to $V_{R_n(k)}$; thus the relative preference value by lowest level is equal to the absolute preference value.

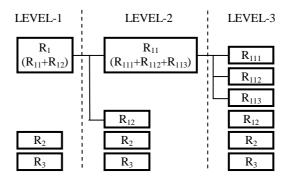


Fig3. Example of the hierarchical classification of preference values

Examples with customised viewpoints

The selected design outcomes used in this paper were created as a part of our experiment [8]. From the initial shape (a candle holder) we generated 115 outcomes that have a maximum of 10 rule sequences (Table 4 and Figure 4); 95 of the outcomes are derived from the subsequences of the final 20 outcomes (S01 - S20) while omitting duplicated designs. For example, the outcome S05 in Figure 4 is generated by four sequential rules (see Appendix) which has four possible designs, i.e. S05-1 by $\{R_{11}\}$, S05-2 by $\{R_{11},R_{11}\},\ S05-3$ by $\{R_{11},R_{11},R_{13}\},\$ and S05-4 by $\{R_{11},R_{11},R_{13},R_{5}\}.$ The rule sequences of S05-1 and S05-2, however, are already generated by S03; thus only two designs S05-3 and S05-4 are used and a total 115 outcomes are generated.

Table 4 The initial shape and the twenty final outcomes of the rule sequences

Initial shape	Final design outcomes									
	S01	S02	\$03	S04	S05	\$06 \$\times\$	S07	S08	S09	S10
	S11	S12	\$13	S14	S15	\$16	\$17 \ \ \ \ \	\$18	S19	S20

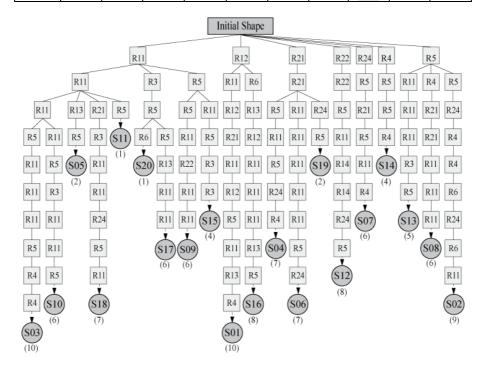


Fig4. Rule sequences of the design outcomes in Table 4. The numbers in parentheses indicate how many outcomes were selected from the subsequences of each final outcome (SOI - S2O). See the Appendix for all the design outcomes.

The formalised approach with the two customised viewpoints is respectively evaluated with the above examples. In this evaluation, we analysed 20 outcomes (top 10 and bottom 10) by each viewpoint from the total of 115 outcomes. All the preference values of the outcomes are calculated by

two customised viewpoints: (i) absolute P, which is equal to the relative P with rule classification level-2 in this paper (Tables 5 and 6), and (ii) relative P with rule classification level-1 (Tables 7 and 8). Note that since the categorisations are focused on the manner of generating designs the results may not be dependent on shape similarity; however, they are considered as the designs most likely to be produced by designers with particular preferences.

Outcomes by absolute preference values

Based on the absolute P for each rule, the outcomes were sorted as shown in Tables 5 and 6. Because the preference values are likely the design intentions of the experiment's participants, they cannot reflect general deisgn preferences. This, however, can be an alternative way to support personal preferences, as previously described.

Table 5 Top 10 and bottom 10 outcomes based on absolute preference values

	Top 10 outcomes								
S14_01	S16_03	S16_04	S05_03	S01_01	S16_08	S16_05	S16_09	S05_04	S08_02
						A			
	Bottom 10 outcomes								
S17_03	S04_03	S06_04	S18_04	S20_04	S17_02	S04_01	S04_02	S06_01	S06_02
	Z Z								

Table 6 Respective absolute preference values (P) and rule sequences of the outcomes in Table 5

Rank	Outcomes	P	Applied rule sequence
1	S14_01	0.1434779	R ₄
2	S16_03	0.115942	$R_{12} - R_6 - R_{13}$
3	S16_04	0.1130435	$R_{12} - R_6 - R_{13} - R_{12}$
4	S05_03	0.111594	$R_{11} - R_{11} - R_{13}$
5	S01_01	0.104348	R_{12}
6	S16_08	0.1043478	$R_{12} - R_6 - R_{13} - R_{12} - R_{11} - R_{11} - R_{11} - R_{13}$
7	S16_05	0.1	$R_{12} - R_6 - R_{13} - R_{12} - R_{11}$
8	S16_09	0.0980676	$R_{12} - R_6 - R_{13} - R_{12} - R_{11} - R_{11} - R_{11} - R_{13} - R_5$
9	S05_04	0.095652	$R_{11} - R_{11} - R_{13} - R_5$
,	S08_02	0.095652	$R_5 - R_4$
106	S17_03	0.031884	$R_{11} - R_3 - R_5$
107	S04_03	0.0304347	$R_{21} - R_{21} - R_5$
107	S06_04	0.0304347	$R_{21} - R_{21} - R_{11} - R_{11}$

109	S18_04	0.0293478	$R_{11} - R_{11} - R_{21} - R_3$
110	S20_04	0.025	$R_{11} - R_3 - R_5 - R_6$
111	S17_02	0.023913	$R_{11} - R_3$
	S04_01	0.021739	R_{21}
112	S04_02	0.021739	$R_{21} - R_{21}$
112	S06_01	0.021739	R_{21}
	S06_02	0.021739	$R_{21} - R_{21}$

Outcomes by relative preference values

Unlike the outcomes by absolute P, the outcomes by relative P with the rule classification level-1 show a visible classification in their rule sequences (Tables 7 and 8).

Table 7 Top 10 and bottom 10 outcomes using relative preference values with rule classification level-1

	Top 10 outcomes								
S01_03	S03_03	S05_03	S01_01	S01_02	S03_01	S03_02	S10_04	S01_06	S01_05
#									
	Bottom 10 outcomes								
S02_04	S02_08	S02_03	S02_06	S08_02	S14_02	S14_04	S14_03	S02_01	S02_02

For the top 10 outcomes, the first eight (where the preference value is 0.417391) are generated using the *outline* rule family R_1 ($R_{11} - R_{14}$) only, while the remaining two are generated using mixed rules, i.e. they have one *structure* rule (R_{21}) from the R_2 family as well. For the bottom 10 outcomes, the most frequently used shape rules are *delete* (R_5), *add* (R_4) and *change shape position* (R_{24}). Although the absolute P for *add* (R_4) is the third biggest value (Table 3), the R_4 rule is considered as the rules that have the lowest P in this viewpoint. This is because (i) some rules that have the lowest P such as *change angles* (R_{14}) and *flip/mirror* (R_{21}) are classified as *outline* (R_1) and *structure* (R_2) rules respectively and they are the two largest P, and (ii) *cut* (R_6) and *substitute* (R_3) rules were rarely used in the 115 outcomes. The result seems well suited to the purpose, i.e. identifying outcomes that share the same rule classifications.

Table 8 Respective relative preference values (P) and rule sequences of the outcomes in Table 7

Rank	Outcomes	P	Applied rule sequence
	S01_03	0.417391	$R_{12} - R_{11} - R_{12}$
1	S03_03	0.417391	$R_{11} - R_{11} - R_{11}$
	S05_03	0.417391	$R_{11} - R_{11} - R_{13}$
	S01_01	0.4173909	R_{12}
	S01_02	0.4173909	$R_{12} - R_{11}$
4	S03_01	0.4173909	R_{11}
	S03_02	0.4173909	$R_{11} - R_{11}$
	S10_04	0.4173909	$R_{11} - R_{11} - R_{11} - R_{11}$
9	S01_06	0.381159	$R_{12}-R_{11}-R_{12}-R_{21}-R_{11}-R_{12} \\$
10	S01_05	0.373913	$R_{12}-R_{11}-R_{12}-R_{21}-R_{11} \\$
106	S02_04	0.1097825	$R_5 - R_5 - R_{24} - R_4$
107	S02_08	0.098913	$R_5 - R_5 - R_{24} - R_4 - R_4 - R_6 - R_{24} - R_6$
108	S02_03	0.0985507	$R_5 - R_5 - R_{24}$
109	S02_06	0.097826	$R_5 - R_5 - R_{24} - R_4 - R_4 - R_6$
	S08_02	0.095652	$R_5 - R_4$
110	S14_02	0.095652	$R_4 - R_5$
	S14_04	0.095652	$R_4 - R_5 - R_5 - R_4$
113	S14_03	0.07971	$R_4 - R_5 - R_5$
114	S02_01	0.047826	R_5
114	S02_02	0.047826	$R_5 - R_5$

Discussion

The design examples used in this paper attempt to reflect the kind of shapes and shape transformations used in the conceptual stage of design, where designs tend to be vague and ambiguous. For this reason, shape rules that express transformations of a shape in an abstract way without representing an exact transformation of the shape have been used as previously mentioned. As an extension of the presented approach for categorisation of designs, use in later stages of design would require more detailed shape rules. For example, change length/width (R_{I3}) could be detailed with definitions of length and width, and with proportional rate of change. Additionally, a preference value for a single rule could be extended to certain lengths of rule sequences, e.g. a preference value for the rule sequence $\{R_1,R_2,R_3\}$ could support more in-depth personal preferences in a shape generation process.

On the other hand, we also tested another viewpoint regarding the complexity of outcomes based on multiple criteria: (i) the length of a rule sequence; (ii) the number of shape rules used; and (iii) the complexity type of a shape rule. The complexity type was determined by whether it contributes to the complexity of outcomes. For example, the add (R_4) rule increases complexity, the delete (R_5) rule decreases it, but other rules do not affect complexity. The result of the complexity viewpoint, however, was not very usable. It seems the length of a rule sequence does not affect the complexity of design outcomes. Instead, there might be more crucial criteria to determine the complexity of outcomes such as the combination of used rules, and different weightings for each step of shape rule sequence, etc.

Currently, the suggested approach is designed as a post-categorisation method after generating designs. As it seems that generating sequences of designs that are aligned with design intentions could effectively reduce design spaces [21], we may need to adapt our approach as a precategorisation method, which defines personal design intentions before generating designs.

Conclusion

The experimental approach that uses a hierarchical classification of shape rules with preference value of each shape rule offers multiple ways of categorising outcomes depending on designers' needs. A preference value of each design outcome, which is used as a speculative tool to identify personal preference of shape generation, has been defined via two criteria, i.e. (i) an absolute preference value based on the frequency of rule use, and (ii) a relative preference value based on shape rule classification levels. A hierarchical classification of shape rules and a preference value for each shape rule in this paper have been identified from the preliminary experiment, and the examples from our experiment are used to evaluate the proposed approach.

The result of categorised outcomes with the worked examples reveals the possibility of providing more preferable and refined outcomes to designers. Therefore, this work illuminates a phenomenon that might be the subject of future research of the current project, and reveals potential diversity in the exploitation of shape grammar systems. Future work is concerned with detailing abstracted rule transformation using exact shape expression, adding a criterion regarding complexity of outcomes, applying the approach as a pre-categorisation method, and exploring how these results can inform the development of computational tools intended to support conceptual design.

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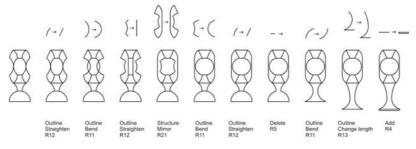
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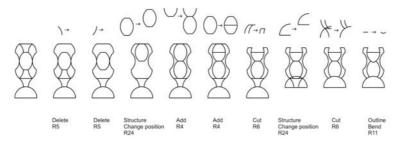
Appendix

The sequential rule processes of the design outcomes selected in the evaluation section are depicted in this appendix to help the reader's understanding.

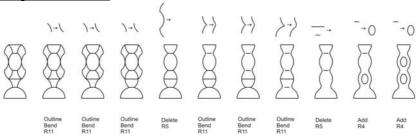


Categorisation of designs according to preference values for shape rules 17

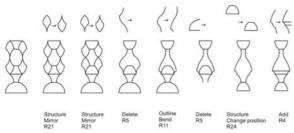
Design Outcome - S02



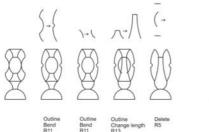
Design Outcome - S03

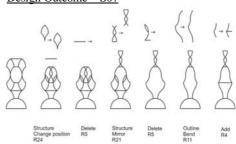


Design Outcome - S04

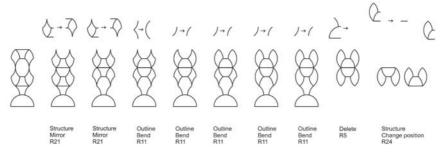


Design Outcome - S05

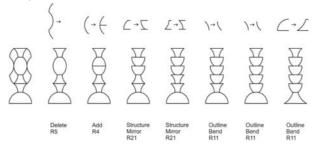




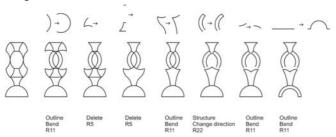
<u>Design Outcome – S06</u>

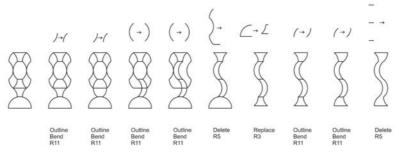


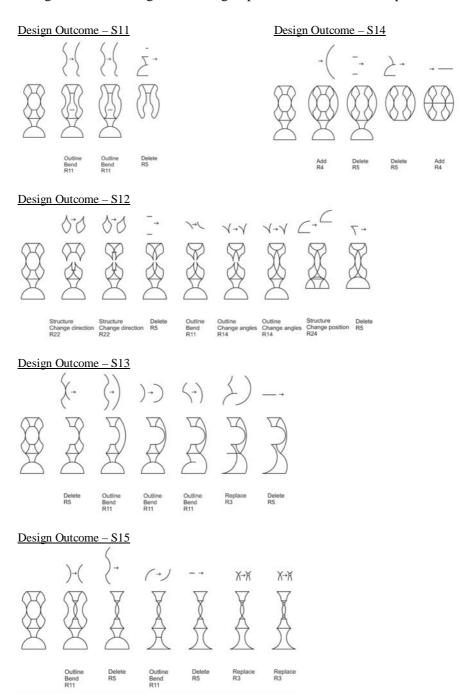
Design Outcome - S08



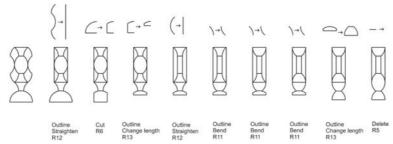
Design Outcome - S09



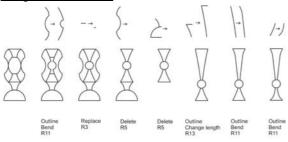




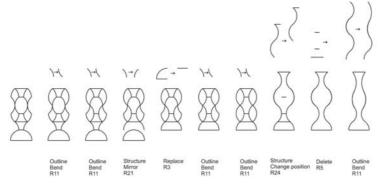
Design Outcome - S16



Design Outcome - S17



Design Outcome - S18



Design Outcome - S19

